



Central Depository Services (India) Limited

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COMMUNIQUE TO DEPOSITORY PARTICIPANTS

CDSL/OPS/DP/POLCY/2020/309

July 16, 2020

MARGIN OBLIGATIONS TO BE GIVEN BY WAY OF MARGIN PLEDGE / MARGIN RE-PLEDGE IN THE DEPOSITORY SYSTEM

DPs are advised to refer CDSL Communique no. **CDSL/OPS/DP/POLCY/2020/234** dated May 22, 2020 containing operational modalities and various file formats about implementation of the SEBI Circular no. SEBI/HO/MIRSD/DOP/CIR/P/2020/28 dated February 25, 2020 regarding Margin Obligations to be given by way of Margin Pledge (**MP**) / Margin Re-pledge (**MRP**) in favour of Trading Members (**TM**) / Clearing Members (**CM**) and Clearing Corporations (**CC**) respectively.

DPs are advised to take note of following additional points for implementation of the guidelines specified in the aforesaid SEBI circular which will be implemented **effective from August 01, 2020.**

1. Account Opening:

- A provision has already been enabled to a member who is a TM and have appointed Professional Clearing Member (**PCM**) to settle transactions of all segments, can open 'TM – Client Securities Margin Pledge Account (**TM-CMPA**)' with one of the sub-types viz. 130 to 133 and 138.
- Similarly, if a member is a TM who is also a Self-Clearing Member (**SCM**) and settles transactions of all segments themselves can open 'CM – Client Securities Margin Pledge Account (**CM-CMPA**)' with one of the sub-types viz. 134 to 137 and 139.
- Also, if a member is only a **PCM** and settles transactions on behalf of the TMs can open 'CM – Client Securities Margin Pledge Account (**CM-CMPA**)' with one of the sub-types viz. 134 to 137 and 139.
- CDSL is pleased to inform its DPs that a **facility has now been enabled** for a member where if a TM has appointed PCM to settle its transactions in one/multiple segment/s and is a SCM in one/multiple segment/s to settle its own transactions can now open 'TM/CM – Client Securities Margin Pledge Account (**TM/CM-CMPA**)' with one of the sub-types mentioned below;

Sub-status code	Sub-status description	Linked to Status Code	Linked to Status description	Linked to Product code	Linked to Product description
138	Individual – TM CMPA Negative Nomination	21	Individual	1	Individual



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Sub-status code	Sub-status description	Linked to Status Code	Linked to Status description	Linked to Product code	Linked to Product description
139	Individual – CM CMPA Negative Nomination	21	Individual	1	Individual
140	Individual – TM/CM CMPA	21	Individual	1	Individual
141	Individual – TM/CM CMPA Negative Nomination	21	Individual	1	Individual
142	Corporate – TM/CM CMPA	25	Corporate	2	Corporate
143	HUF – TM/CM CMPA	21	Individual	8	HUF
144	LLP – TM/CM CMPA	25	Corporate	2	Corporate

2. OTP Authentication for Margin Pledge Transactions:

- On setup / upload of MP transactions by a DP through WebCDAS or by a BO / Member (based on POA) / DP through Easiest, a link for OTP authentication will be generated and sent on the registered Mobile Number and registered Email ID of the client.
- Said link will remain valid till OTP authentication or EOD of the Execution Date of the MP transaction as the case may be. On clicking the said link client will be redirected to CDSL webpage and prompted to enter his PAN or BOID (mandatory in case of PAN exempted clients).
- Once the valid PAN / BOID is entered by the client, list of all MP transactions pending for authentication will be displayed to the client. After verifying the details, client will be allowed to select single or multiple MP transactions with a facility of 'Select All' option.
- On selection, a provision to generate OTP will be given to client. On clicking 'Generate OTP' button, OTP will be generated and sent on registered Mobile Number and registered Email ID of the client.
- OTP will remain valid for 15 minutes. On expiry of OTP, client will again be required to follow process of selecting the MP transactions and re-generate OTP.
- Non-authenticated MP transactions will be 'Cancelled' during EOD of the 'Execution Date'
- OTP authentication will be allowed from setup date till EOD of the Execution date.



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- h) OTP authentication is not required if client securities are further re-pledged by the TM to CM and/or by the CM to CC.

3. Express Consent by the Client for Re-pledge:

- a) Please note that client securities that are pledged in TM-CMPA or CM-CMPA or TM/CM-CMPA for margin purpose can be re-pledged by the TM to CM and further by the CM to CC.
- b) As mentioned in the aforesaid SEBI circular, a clause has been added in physical Margin Pledge Request Form (**MPRF**) regarding express consent by the client for re-pledge of the securities by the TM to CM and further by the CM to CC.
- c) Further, a provision will also be made available in the system for electronic margin pledge transactions initiated by a client / member (on behalf of POA clients) such that prior to OTP authentication a message will be displayed giving express consent by the client for re-pledge of the securities by the TM to CM and further by the CM to CC.

4. Provision to Specify Segment Code as All:

- a) As directed by SEBI, client / TM / CM can mention a 'Specific' segment code or mention the segment code as 'All' while initiating MP / MRP transactions.
- b) Provision will be made available in system such that on setup / upload of MP transactions by a DP through WebCDAS or by a BO / Member (based on POA) / DP through Easiest, based on the instruction of client, user can process MP transactions with a 'Specific' or 'All' segment code subject to client is registered for the specified segment (if MP instruction is initiated with specific segment) or registered for any one valid segment (if MP instruction is initiated with segment code 'All' option) for said UCC-TM-CM combination.
- c) Processing of MRP transactions where securities are getting re-pledged by the TM to CM will be allowed with a specific segment code or 'All' segment code. All segment code option will also be allowed for CM to CC type of MRP transactions.
- d) If securities are getting re-pledged by the TM to CM where said securities were pledged by client with segment code 'All' option and MRP is initiated with a specific segment code, MRP transactions from TM to CM will be allowed subject to client is registered in that segment for said UCC-TM-CM combination.
- e) If securities are getting re-pledged by the CM to CC where said securities were pledged by client and re-pledged by TM to CM with segment code 'All' option, processing of MRP transactions from CM to CC will be allowed with the specific segment code or "All option" subject to client is registered in that segment for said UCC-TM-CM combination.
- f) If MP transaction is initiated with a specific segment code, change in segment code during repledge of such transactions from TM to CM and/or CM to CC will NOT be allowed.



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- g) If MP transaction is initiated with segment code 'All', change in segment code during repledge of such transactions from TM to CM and/or CM to CC will be allowed subject to client is registered in that segment for said UCC-TM-CM combination.

5. Pledge for Funded Stocks:

As directed by SEBI, funded stocks held by the TM / CM under the margin trading facility shall allowed to be held by the TM / CM **only by way of pledge**. It will be a normal pledge transaction. Following changes will be applicable **effective from August 01, 2020**.

- a) Since this will be a normal pledge transaction, DPs receiving pledge requests for funded stocks are instructed to accept normal Pledge Request Forms (**PRF**). Re-pledge functionality will NOT be applicable for such type of pledge transactions.
- b) CDSL is pleased to inform its DPs that following sub-type has been introduced in CDSL system to open account of the type 'Client Securities Margin Funding Account' (**CMFA**) so that securities purchased under margin trading facility i.e. funded stocks can be pledged by the client in this account.

Sub-status code	Sub-status description	Linked to Status Code	Linked to Status description	Linked to Product code	Linked to Product description
145	Client Securities Margin Funding Account	25	Corporate	2	Corporate

- c) Normal pledge transaction where pledgee account is member's CMFA **will require an OTP authentication by the client**.
- d) OTP authentication process for said type of pledge transactions will be similar as detailed under Point No. 2 of this communicate except express consent by the client for re-pledge of the securities.

6. Acceptance of Normal Pledge for Security Deposits:

At present, NSE Clearing Corporation Limited (NCL) accepts Security Deposit from designated 'Collateral Account of a CM/TM' in designated 'Pledgee Account of CC' by way of a normal pledge.

- a) As mentioned in above-mentioned CDSL circular dated May 22, 2020, the CM / TM shall be required to close all their existing demat accounts tagged as 'CM/TM Client Collateral Account' (having sub-status codes as 122, 123, 124 & 125) and 'CM/TM Collateral Account' (having sub-status codes as 105, 107, 109 & 118) **by August 31, 2020**.



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- b) **Effective from August 01, 2020**, CDSL system will not allow pledge setup either for Security Deposit or Margin Deposit from any Collateral Accounts of a CM / TM having sub status code as mentioned under point 6-a) above.
- c) Normal pledge for Security Deposit will be allowed only from Proprietary Accounts of a CM / TM having sub states codes 104, 106, 108 & 117.
- d) CM / TMs may contact NCL for further operational modalities for accepting Security Deposit.

7. Annexures related to Forms, Validations, Upload and Report Formats:

- a) DPs are requested to take note of following annexures related to various pledge forms, margin pledge transaction validations and file format changes with respect to upload and CDAS reports and initiate suitable back-office changes;

Sr. No	Name	Annexure	Remarks
1	Consent Form for CMPA and CMFA	Annexure – A	
2	Margin Pledge / Re-pledge Request Form	Annexure – B	
3	Margin Unpledge Request Form	Annexure – C	
4	Margin Pledge / Re-pledge Invocation Request Form	Annexure – D	
5	Margin Pledge Entry Validation	Annexure – E	Introduction of new TM/CM-CMPA Account
6	Margin Pledge Execution validation	Annexure – F	Introduction of new TM/CM-CMPA Account
7	Margin Pledge Balance Movement illustration	Annexure – G	Refer Communique 234 dated May 22, 2020
8	Common Upload	Annexure – H	Introduction of new value in Segment code.
9	DPG5 – Half Yearly Holding Report for Non-transacted BOs	Annexure – I	Change in Length of Line 06 along with new Memorandum Transactions
10	DP36 – Default Pledge Master Report	Annexure – J	Introduction of new value in Segment code
11	DP37 – Online Pledge Master Report	Annexure – K	Introduction of new value in Segment code
12	DP57 – Online Transaction Report	Annexure – L	Introduction of new value in Segment code for Pledge / Unpledge / Auto Unpledge / Confiscate and Margin Pledge Parent PSN for Pledge in case of Margin Re-Pledge.
13	DPC7 – Free Balance Report	Annexure – M	Refer Communique 234 dated May 22, 2020
14	DPC9 – BO Transaction Cum Holding Report	Annexure – N	Change in Length of Line 06 along with new Memorandum Transactions



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			and Transaction descriptions for Margin Pledge/Re-Pledge
15	DPM3 – Weekly / Monthly Full Holding Report	Annexure – O	Refer Communique 234 dated May 22, 2020
16	DPM4 – Online Incremental Holding Report	Annexure – P	Refer Communique 234 dated May 22, 2020
17	Combined Form	Annexure – Q	

8. Points Worth Taking Note Of:

Account Opening:

- a) In case a TM/CM's existing pool account is maintained with a DP and the TM/CM-CMPA and/or CMFA is being opened with the same DP then fresh Account Opening Form (AOF) would not be required to be submitted by a TM/CM to the DP for opening the TM/CM-CMPA and/or CMFA. DP shall obtain a consent from a TM/CM in the format enclosed as 'Annexure-A' along with a copy of the board resolution for the same. However, if the TM/CM-CMPA and/or CMFA is being opened with another DP where pool account is not maintained then the documents as applicable for opening of demat accounts mentioned in the Operating Instructions 2.3.7 would have to be furnished. A TM/CM should mention the type of account as TM/CM-CMPA and/or CMFA in the AOF provided in Annexure 2.2 of the Operating Instructions.

Grouping of TM/CM-TMPA / CMFA in Easiest:

- b) Presently, TM/CMs can use 'Easiest' to transfer securities from TM/CM's accounts provided such demat accounts have been grouped under TM/CM log-in. TM/CM can transfer securities from TM/CM-CMPA/CMFA only if TM/CM has grouped its TM/CM-CMPA/CMFA under the TM/CM account login in easiest. The grouping can be done by submitting a request letter to the DP as per the current process. DPs and TM/CMs are requested to note that grouping is possible only if the TM/CM settlement accounts are held with the same DP. It may please be noted that credits in TM/CM-CMPA/CMFA would be allowed only by way of invocation and corresponding Corporate Action, if any.
- c) TM/CMs can use 'Easiest' to transfer securities from its client's accounts to its Pool, Principal, Clearing Member, Early Pay-in Account provided such demat account numbers have been grouped under its TM/CM's login. Similarly, TM/CMs shall also be allowed to use 'Easiest' to pledge client securities under Margin Pledge / Margin Funding Pledge (normal pledge) provided, such demat account numbers have been grouped under TM/CM's login. Further, as mentioned above such demat account numbers should have been registered as recipient demat accounts in the Master POA ID linked with the BO account in CDAS. The grouping is done by the TM/CM from the their respective login from



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the 'Miscellaneous Menu – Edit Grouping Option' provided that the PAN of the TM/CM Pool account matches with the PAN of TM/CM-CMPA and/or CMFA account. After grouping, the form will be available for download. The duly filled, signed and stamped form has to be submitted by the TM/CM to their respective DP for authentication.

- d) Therefore, in view of the introduction of new sub-status codes for TM/CM-CMPA/CMFA **with effect from August 01, 2020**, demat accounts of TM/CMs **with sub-status 138 to 145 will be allowed to be mapped** to its TM/CM accounts by submitting the grouping form to their DPs. The TM/CMs should take care that the POA given by the client authorizes the TM/CM to pledge securities from client's account for the purpose of margin / margin funding.

DPs are hereby directed to disseminate the aforesaid information / requirements / guidelines to their TM / CM clients to help them to understand and comply with the guidelines in accordance with the SEBI circular and ensure that the suitable back-office changes where applicable are implemented well before the deadline time of release of Margin Pledge / Re-pledge functionality.

Queries regarding this communiqué may be addressed to:

CDSL – Helpdesk on (022) 2305-8642, 2305-8663, 2305-8624, 2305-8640 or 2305-8639. Emails may be sent to: helpdesk@cdslindia.com.

sd/-

Prashant Kokate
Asst. Vice President – Operations

On the letterhead of TM/CM

Date:

To,

(Name of Depository Participant)

Dear Sir / Madam,

Sub: ☐ **Consent for opening Client Securities Margin Pledge Account**
 ☐ **Consent for opening Client Securities Margin Funding Account**

I/We am/are maintaining a TM/CM account with your DP <demat account number (BOID)>.

I/We would like to open Client Securities Margin Pledge Account and/or Client Securities Margin Funding Account based on the existing AOF with your DP under the type _____ and sub-type _____.

I/we hereby declare that I/we am/are KYC compliant and details captured in the Pool / Principal / Clearing Member Account and current details are same.

As per Operating Instructions 2.4.4 we hereby give our consent and Board Resolution along with the list of Authorised Signatories to open and operate the Client Securities Margin Pledge Account / Client Securities Margin Funding Account as a TM/CM of BSE and / or TM/CM for exchanges other than BSE.

Thanking you,

TM/CM Seal & Signature

MARGIN PLEDGE / REPLEDGE REQUEST FORM (MPRF)

☐ Setup of Margin Pledge ☐ Setup of Margin Re-Pledge ☐ Confirmation of Margin Pledge ☐ Confirmation of Margin Re-Pledge

Depository Participant Name /Address

Please fill all the details in **Block Letters** in English

MPRF No. _____ Date

D	D	M	M	Y	Y	Y	Y
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I/We request you to **create the margin pledge, re-pledge / confirm the creation of margin pledge / re-pledge** for the following securities. I/We have read and understood the Depositories Act, SEBI Regulations and the Bye Laws in relation to margin pledge of securities and I/We agree to abide by and be bound by the Act, Regulations and the Bye Laws as are in force from time to time for such pledges.

Pledgor's Details																
DP ID								Client ID								
UCC																
TMID/CP Code																
CMID																
STOCK EXCHANGE						CLEARING CORPORATION					SEGMENT					
Pledgor's Name	1															
	2															
	3															

DP ID								Client ID								
Pledgee's Name	1.															
	2.															
	3.															

Details of Securities: ☐ Free Securities

Details of Securities offered for Margin Pledge/Margin Repledge

Sr. no	ISIN	Company Name	Quantity	PSN (System Generated)	Accepted / Rejected by Pledgee	Pledged value

Attach an annexure duly signed by the account holder(s), if the space above is insufficient.

Pledge Execution Date	D	D	M	M	Y	Y	Y	Y
Pledge Expiry Date	D	D	M	M	Y	Y	Y	Y
Total Pledge Value (Rs.)								
Agreement No.								
Date of Pledging	D	D	M	M	Y	Y	Y	Y

Note : As a pledgor and pledgee, We are aware that the margin pledge / re-pledge recorded in the system only prohibits the Pledgor from dealing with securities until redemption/maturity/expiry date of the securities margin pledged and that the records of margin pledged securities may be removed from the system as a result of redemption/maturity/expiry of the securities.

I/ we hereby provide our consent for the repledge of the securities under margin pledge by the pledgee (i.e. Trading Member) to the Clearing Member and / or further to the Clearing Corporation.

To be filled and signed in case of Set-up of ☐ Margin Pledge ☐ Re-pledge by Pledgor BO

Signature of the Pledgor

First/ Sole Applicant	Second Applicant	Third Applicant

Annexure - B

To be filled in case of acceptance of <input type="checkbox"/> Margin Pledge <input type="checkbox"/> Re-pledge by Pledgee BO

Signature of Pledgee(s)

First Holder	Second Holder	Third Holder

Depository Participant Seal and Signature

☐ Margin Pledge release by Pledgor ☐ Margin Pledge release by Pledgee ☐ Margin Repledge release by Pledgor ☐ Margin Repledge release by Pledgee

Please fill all the details in **Block Letters** in English

I/We request you to set up margin **Unpledge** request on my / our behalf. I / We have read and understood the Depositories Act, SEBI Regulations and the Bye Laws in relation to margin unpledge of securities and I / We agree to abide by and be bound by the Act, Regulations and the Bye Laws as are in force from time to time for such margin unpledge requests.

Pledgee's Details																	
DP ID										Client ID							
Pledgee's Name	1.																
	2.																
	3.																

Sr. No	PSN	ISIN	Name of the Security	Total Quantity pledged	Quantity to be unpledged	Accepted / rejected by Pledgee

Attach an annexure duly signed by the account holder(s), if the space above is insufficient.

I / We declare that the above particulars given by me/ us above are true to the best of my/ our knowledge.

Signature of the Pledgee		
First/ Sole Applicant	Second Applicant	Third Applicant

To be filled and signed in case of Set-up of pledge release by Pledgor BO

Signature of the Pledgor		
First / Sole Applicant	Second Applicant	Third Applicant

Depository Participant seal and signature

Margin Invocation Request Form (MIRF)**Depository Participant Name/Address**Please fill all the details in **Block Letters** in English. To be filled by the pledgee.

MIRF No.		Date	D	D	M	M	Y	Y	Y	Y
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I/We request you to set up a Margin Invocation request on my / our behalf. I / We have read and understood the Depositories Act, SEBI Regulations and the Bye Laws in relation to Margin Invocation of securities and I / We agree to abide by and be bound by the Act, Regulations and the Bye Laws as are in force from time to time for such Margin Invocation.

Pledgor's Details															
DP ID										Client ID					
UCC															
TMID/CP Code															
CMID															
STOCK EXCHANGE						CLEARING CORPORATION				SEGMENT					
Pledgor's Name	1									2					
	2									3					
	3														

DP ID									Client ID						
Pledgee's Name	1.														
	2.														
	3.														

Date of Invocation	D	D	M	M	Y	Y	Y	Y
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Details of Securities to be invoked						
Sr. No	PSN	ISIN	Name of the Security	Total Quantity Pledged	Quantity to be invoked	Invocation Value

Attach an annexure duly signed by the Pledgee(s), if the space above is insufficient.

The invocation request is being set up for the reasons mentioned hereunder:-

Signature of the Margin Pledgee / Margin Re-pledgee		
Sole / First Applicant	Second Applicant	Third Applicant

Depository Participant Seal and Signature

Type of Pledge	Pledgor	Pledgee	EX ID	UCC	Segment	TM ID / CP Code	CM ID	CCID
Margin Pledge	Client	TM-CMPA	M	M	M	M	M	Will be derived from EXID-UCC combination
	Client	CM-CMPA	M	M	M	M	M	Will be derived from EXID-UCC combination
	Client	TM/CM-CMPA (either as a TM or CM)	M	M	M	M	M	Will be derived from EXID-UCC combination
	CP	CM - CMPA	M	O	M	M	M	Will be derived from entered / uploaded EXID
	CP	TM/CM – CMPA (as a CM)	M	O	M	M	M	Will be derived from entered / uploaded EXID
	TM's Proprietary Account	TM-CMPA	M	O	M	M	M	Will be derived from entered / uploaded EXID
	TM's Proprietary Account	TM/CM-CMPA (as a TM)	M	O	M	M	M	Will be derived from entered / uploaded EXID
	CM's Proprietary Account	CM-CMPA	M	O	M	M	M	Will be derived from entered / uploaded EXID
	CM's Proprietary Account	TM/CM-CMPA (as a CM)	M	O	M	M	M	Will be derived from entered / uploaded EXID

Type of Pledge	Pledgor	Pledgee	EX ID	UCC	Segment	TM ID / CP Code	CM ID	CCID
Margin repledge	TM-CMPA	CM-CMPA	M	O	M	M	M	Will be derived from EXID-UCC combination
	TM-CMPA	TM/CM-CMPA (as a CM)	M	O	M	M	M	Will be derived from EXID-UCC combination
	TM/CM-CMPA (as a TM)	CM-CMPA (different CM)	M	O	M	M	M	Will be derived from EXID-UCC combination
	TM/CM-CMPA (as a TM)	TM/CM-CMPA (as a CM but different CM)	M	O	M	M	M	Will be derived from EXID-UCC combination
	CM-CMPA	CC	M	O	M	M	M	Will be derived from EXID-UCC combination
	TM/CM-CMPA (as a CM)	CC	M	O	M	M	M	Will be derived from EXID-UCC combination

Type of Pledge	Pledgor	Pledgee	Validations
Margin Pledge	Client	TM-CMPA	<ol style="list-style-type: none"> Execution of pledge instruction will be permitted only for active UCC-Segment combination present in the linked UCC details of the BO. Pledgee account should be TM-CMPA. TMID, CMID and Segment Code combination entered during Margin Pledge Setup will be checked for existence of the same combination in the CM-TM Link approved by CC. UCC details will not be allowed for modification/deletion on active MP.
	Client	CM-CMPA	<ol style="list-style-type: none"> Execution of pledge instruction should be permitted only for active UCC-Segment combination present in the linked UCC details of the BO. Pledgee account should be of CM-CMPA. TMID, CMID and Segment Code combination entered during Margin Pledge Setup will be checked for existence of the same combination in the CM-TM Link approved by CC. UCC details will not be allowed for modification/deletion on active MP.
	Client	TM/CM-CMPA	<ol style="list-style-type: none"> Execution of pledge instruction should be permitted only for active UCC-Segment combination present in the linked UCC details of the BO. Pledgee account should be of TM/CM-CMPA. TMID, CMID and Segment Code combination entered during Margin Pledge Setup will be checked for existence of the same combination in the CM-TM Link approved by CC. UCC details will not be allowed for modification/deletion on active MP.
	TM Proprietary Account	TM-CMPA	<ol style="list-style-type: none"> UCC will be optional for this combination. No OTP authentication is required as Pledgor and Pledgee entity is same. PAN of TM Proprietary Account and TM-CMPA should be same.
	TM Proprietary Account	TM/CM-CMPA	<ol style="list-style-type: none"> UCC will be optional for this combination. No OTP authentication is required as Pledgor and Pledgee entity is same.

Type of Pledge	Pledgor	Pledgee	Validations
			3. PAN of TM Proprietary Account and TM/CM-CMPA should be same.
	CM Proprietary Account	CM-CMPA	1. UCC will be optional for this combination. 2. No OTP authentication is required as Pledgor and Pledgee entity is same. 3. PAN of CM Proprietary Account and CM-CMPA should be same.
	CM Proprietary Account	TM/CM - CMPA	1. UCC will be optional for this combination. 2. No OTP authentication is required as Pledgor and Pledgee entity is same. 3. PAN of CM Proprietary Account and TM/CM-CMPA should be same.
	CP	CM-CMPA	1. PAN of CP and CP Code entered and PAN of CM-CMPA account and CMID will be checked for existence in the CM-CP Link approved by CC.
	CP	TM/CM - CMPA	1. PAN of CP and CP Code entered and PAN of TM/CM-CMPA account and CMID will be checked for existence in the CM-CP Link approved by CC.
Margin Re-pledge	TM-CMPA	CM-CMPA	1. CMID and PAN of CM-CMPA Account and TMID and PAN of TM-CMPA account will be checked for existence in the CM-TM Link provided by CC.
	TM-CMPA	TM/CM - CMPA	1. CMID and PAN of TM/CM-CMPA Account and TMID and PAN of TM-CMPA account will be checked for existence in the CM-TM Link provided by CC.
	TM/CM - CMPA	CM-CMPA	1. TMID and PAN of TM/CM-CMPA Account and CMID and PAN of CM-CMPA account will be checked for existence in the CM-TM Link provided by CC.
	TM/CM - CMPA (as a TM)	TM/CM - CMPA (as a CM)	1. TMID and PAN of TM/CM-CMPA Pledgor Account and CMID and PAN of CM-CMPA Pledgee account will be checked for existence in the CM-TM Link provided by CC.

Type of Pledge	Pledgor	Pledgee	Validations
	CM-CMPA	CC	<ol style="list-style-type: none"> 1. The mapping between CM-CMPA Pledgor Account and CC Pledgee Account will be checked in Eligible CM-CMPA accounts list provided by CCs. 2. The mapping between CC Pledgee Account and ISIN should be present in Approved Securities list provided by CCs. 3. CC account will be checked for existence in the CC Static Master table in CDAS.
	TM/CM-CMPA	CC	<ol style="list-style-type: none"> 1. The mapping between TM/CM-CMPA Pledgor Account and CC Pledgee Account will be checked in Eligible TM/CM-CMPA accounts list provided by CCs. 2. The mapping between CC Pledgee Account and ISIN should be present in Approved Securities list provided by CCs. 3. CC account will be checked for existence in the CC Static Master table in CDAS.

Features

- Multiple Upload Types can be entered in the same file.
- The fields can be in any order.
- The first tag should be <Tp> indicating the upload type.
- Quantity can be Maximum 12 digits before decimals and 3 digits after decimals. Decimal Point is required in the file for decimal quantities.
- Quantity field should indicate the exact quantity. For e.g. If Quantity is 100 then it should be written as <Qty>100</Qty>.
- In case of decimals, the decimal point should also be included. For e.g. If Quantity is 100.123 then it should be written as <Qty>100.123</Qty>.
- Format of Date field is DDMMYYYY.
- If a field is blank i.e. optional it can be entered as blank as <Ref></Ref> or the tag may not be present. Such a field will be ignored as an empty tag.

Upload ID : **18**

File Name : <Upload ID><Six Digit DP ID>.<Business Date>.<3, 4, and 5 digit running serial number>

Sample File Name:

For DP : 18021200.18042015.123

For CM /BO : 181304140000001234.18042015.123

Header Record

Input Type : **M**andatory [**M**] / **O**ptional [**O**]

Header record will contain:

Field Description	Field Type	Field Length	Input Type
DP ID	Number	6	M
Operator ID	Char	6	M
Total No. of Records	Number	6	M
File Extension	Number	3 / 4 / 5	M
Business Date	Date	8	M

Sample Record Format:

021200DPADM 00000112318042015

Detail Record : Pledge Upload

Field Description	Field Type	Field Length	Input Type	Start XML Tag	End XML Tag
Transaction Type Code	Number	2	M [Value = 7]	<Tp>	</Tp>
Unique Serial Number	Number	8	O	<Usn>	</Usn>
Pledge Type	Char	1	M [Value = P]	<Pldgt>	</Pldgt>
Pledge Sub Type	Char	1	M • S – Setup • A – Accept • R – Reject • C – Cancel by Pledgor • E – Reversal by Pledgee • M – Modify	<Subtp>	</Subtp>
Free / Lock-in Flag	Char	1	O • F – Free • L – Lockin [Applicable if Pledge Sub Type is 'S']	<Lcksts>	</Lcksts>
Lock-in ID	Number	16	O [Applicable if Pledge Sub Type is 'S' and Free / Lock-in Flag is 'L']	<Lckid>	</Lckid>
Pledge Request Form Number	Char	16	O [Applicable and Mandatory if Pledge Sub Type is 'S']	<Prf>	</Prf>
Pledgor BO ID	Char	16	M	<Bnfcry>	</Bnfcry>
Pledgee BO ID	Char	16	M	<Ctrpty>	</Ctrpty>
ISIN	Char	12	M	<ISIN>	</ISIN>
Quantity	Number	16,3	M [Applicable if Pledge Sub Type is 'S' or 'A' or 'R' or 'C' or 'E']	<Qty>	</Qty>
Value	Number	15,2	O [Applicable if Pledge Sub Type is 'S']	<Val>	</Val>
Pledge Expiry Date	Date	8 [DDMMYY YYY]	O [Applicable if Pledge Sub Type is 'S' or 'M']	<Xpry>	</Xpry>

Field Description	Field Type	Field Length	Input Type	Start XML Tag	End XML Tag
Pledgee Internal Reference	Char	16	O [Applicable if Pledge Sub Type is 'A' or 'R' (direct transactions)]	<Ctrptyref>	</Ctrptyref>
Pledgor Internal Reference	Char	16	O [Applicable if Pledge Sub Type is 'S' (direct transactions)]	<Ref>	</Ref>
Agreement Number	Char	20	O [Applicable if Pledge Sub Type is 'S' or 'M' (direct transactions)]	<Agrmt>	</Agrmt>
Remarks	Char	100	O [Applicable if Pledge Sub Type is 'S' or 'A' or 'R' (direct transactions)]	<Remk>	</Remk>
Pledge Sequence Number	Number	8	[Will be null for Pledge Type 'P' and Pledge Sub Type 'S' (for newly setup Pledge) else mandatory]	<Psn>	</Psn>
Execution Date	Date	8	O Will be valid for Pledge Type 'P' and Pledge Sub Type 'S'. In DDMMYYYY format	<Excdt>	</Excdt>
Request Received Date From BO	Date	14	M DDMMYYYY Or DDMMYYYYHH24MISS	<Rcvdt>	</Rcvdt>
Pledge Identifier	Char	2	O Will be valid for Pledge Type 'P' and pledge Sub type 'S' Possible Values MP – Margin Pledge MR – Margin Repledge	<PldgIdntfr>	</PldgIdntfr>
Exchange ID	Number	2	M / O Mandatory only if Pledge Identifier present	<Xchg>	</Xchg>
UCC Details	Char	11	M / O Mandatory only if Pledge Identifier present	<Ucc>	</Ucc>

Field Description	Field Type	Field Length	Input Type	Start XML Tag	End XML Tag
Segment ID	Char	2	M / O Mandatory only if Pledge Identifier present CM – Cash segment FO – Equity Derivatives Segment CD- Currency Derivative Segment DT – Debt Segment CO – Commodity Derivatives Segment SB – SLB Segment AL – All Segments	<Seg>	</Seg>
CC ID	Number	2	M / O Mandatory only if Pledge Identifier present	<Clr>	</Clr>
CM ID	Char	8	M / O Mandatory only if Pledge Identifier present	<Mmb>	</Mmb>
TM ID /CP ID	Char	12	M / O Mandatory only if Pledge Identifier present If TM ID is provided, Entity Identifier will be TM. If CP ID is provided, Entity Identifier will be CP	<Tm>	</Tm>
Entity Identifier	Char	2	M / O Mandatory only if Pledge Identifier present TM- TM ID CP- CP ID	<EntIdntfr >	</EntIdntfr>
Margin Pledge Sequence Number	Number	8	M / O Mandatory only if Pledge Identifier is MR – Margin Repledge	<MarPsn>	</MarPsn>

Sample Record Format –
Pledge Setup

<Tp>7</Tp><Usn>1</Usn><PldgtP>P</PldgtP><Subtp>S</Subtp><Lcksts>L</Lcksts><Lckid>0701114128123020</Lckid><Prf>CDPTEST002</Prf><Bnfcr>1302120000046661</Bnfcr><CtrPty>1302120000152220</CtrPty><ISIN>INE024B01010</ISIN><Qty>10000</Qty><Val>100000</Val><Xpry>31122015</Xpry><Ref>PLEDGETESTCDP</Ref><Agrmt>CDPTEST001</Agrmt><Remk>PLEDGETEST2303</Remk><Psn></Psn><Excdt>01092015</Excdt><Rcvdt>15082015173000</Rcvdt>

Margin Pledge

<Tp>7</Tp><Usn>1</Usn><PldgtP>P</PldgtP><Subtp>S</Subtp><Lcksts>L</Lcksts><Lckid>3171162550437020</Lckid><Prf>ABC/123</Prf><Bnfcr>1601010000012345</Bnfcr><CtrPty>1601010000067890</CtrPty><ISIN>IN9003A01014</ISIN><Qty>1000</Qty><Val>100000</Val><Xpry>31122010</Xpry><Ref>ABCD</Ref><Agrmt>AGREE</Agrmt><Rmk>REMARK</Rmk><ExecDt>05092012</ExecDt><Rcvdt>15082015173000</Rcvdt><Pldgldntfr>MP</Pldgldntfr><Xchg>11</Xchg><Ucc>105717<Ucc><Seg>01</Seg><Clr>10</Clr><Mmb>10654</Mmb><Tm>10654</Tm><Entldntfr>TM</Entldntfr>

Margin Repledge

<Tp>7</Tp><Usn>1</Usn><PldgtP>P</PldgtP><Subtp>S</Subtp><Lcksts>L</Lcksts><Lckid>3171162550437020</Lckid><Prf>ABC/123</Prf><Bnfcr>1601010000012345</Bnfcr><CtrPty>1601010000067890</CtrPty><ISIN>IN9003A01014</ISIN><Qty>1000</Qty><Val>100000</Val><Xpry>31122010</Xpry><Ref>ABCD</Ref><Agrmt>AGREE</Agrmt><Rmk>REMARK</Rmk><ExecDt>05092012</ExecDt><Rcvdt>15082015173000</Rcvdt><Pldgldntfr>MR</Pldgldntfr><Xchg>11</Xchg><Ucc>42358<Ucc><Seg>01</Seg><Clr>10</Clr><Mmb>10654</Mmb><Tm>10654</Tm><Entldntfr>TM</Entldntfr><MarPsn>3456</MarPsn>

Pledge Accept

<Tp>7</Tp><Usn>1</Usn><PldgtP>P</PldgtP><Subtp>A</Subtp><Psn>608067</Psn><Bnfcr>1302120000046661</Bnfcr><CtrPty>1302120000152220</CtrPty><ISIN>INE024B01010</ISIN><Qty>10000</Qty><Ctrptyref>CDP TEST</Ctrptyref><Remk>PLEDGETEST2503</Remk><Rcvdt>15082015173000</Rcvdt>



Report Documentation

Report ID : DPG5

Report Description : Quarterly Holding Report for non transacted BO

Module : BO

Report Format : (Specify in same sequence as Unformatted layout)

Sr. No.	Field Description	Field Type	Field Size
1	Line Identifier - "01"	Number	2
2	DPID	Number	5
3	BOID	VarChar	16
4	From Date	Char	10 (DD-MM-YYYY)
5	To Date	Char	10 (DD-MM-YYYY)
6	Line Identifier - "02"	Number	2
7	ISIN	Char	12
8	ISIN Full Name	VarChar	100
9	Settlement ID	VarChar	13
10	Liquidation / Winding Up Status	Number	2 0 – Not Under Liquidation / Winding Up 1 - Under liquidation / winding up 2 - Reference BIFR
11	Security Type	VarChar	4 EQ – Equity PREF – Preference Shares GSEC – Government Securities OTHR – Others MF – Mutual Funds
12	Line Identifier - "03"	Number	2
13	From Date	Char	10 (DD-MM-YYYY)
14	Transaction Particulars	"Opening Balance"	15
15	Transaction Qty1	Nil	0
16	Transaction Qty2	Nil	0
17	Opening Balance	Number	Max (13.3) & Min (1.3)
18	Line Identifier - "04"	Number	2
19	Transaction Date	Char	10 (DD-MM-YYYY)

Sr. No.	Field Description	Field Type	Field Size
20	Transaction Description	Char	Max (60)
21	Credit/Debit Type	Char	1 ("C" or "D")
22	Transaction Quantity	Number	Max (13.3) & Min (1.3)
23	Calculated Current Balance After Transaction	Number	Max (13.3) & Min (1.3)
24	Settlement ID	VarChar	13
25	Counter Settlement ID	VarChar	13
26	Transaction ID	Number	8
27	DIS	VarChar	16
28	Line Identifier - "05"	Number	2
29	To Date	Char	10 (DD-MM-YYYY)
30	Transaction Particulars	"Closing Balance"	15
31	Transaction Qty1	Nil	0
32	Transaction Qty2	Nil	0
33	Closing Balance	Number	Max (13.3) & Min (1.3)
34	Line Identifier - "06"	Number	2
35	Pending Since Date/Lockin Expiry Date / Destat Setup Date / <i>Margin Pledge Setup Date / Margin Re-Pledge Setup Date/ Normal Pledge Setup Date/ Margin Funding Pledge Setup Date</i>	Char	10 (DD-MM-YYYY)
36	Demat/Remat Pending/Lockin / Pending Destat / <i>Margin Pledge / Margin Re-Pledge Normal Pledge Margin Funding Pledge</i>	Char	1 ("D" or "R" or "L" or "M" or " P " or " E " or " N " or " F ")
37	Description Demat Pending/ Demat Rejected/ Remat Pending/ Remat Rejected/ Restat Pending/ Restat Rejected/ Lockin/ Destat Pending / <i>Margin Pledge Transaction Details / Margin Re-Pledge Transaction Details / Normal Pledge Transaction Details / Margin Funding Pledge Transaction Details</i>	Char	Max (60) Min (17) <i>e.g.</i> <i>Margin Pledge Transactions</i> <i>MP Accept: <PSN></i> <i>CTRBO <Pledgee BO ID (TM-CMPA or CM-CMPA or TM/CM-CMPA)></i> <i>SG <Segment Code></i> <i>EX <EX ID></i>

Sr. No.	Field Description	Field Type	Field Size
			<i>Margin Re-Pledge Transactions</i> <i>MRP Accept:</i> <i><PSN> CTRBO</i> <i><Pledgor BO ID>(TM-CMPA or CM-CMPA or TM/CM-CMPA)></i> <i>SG <Segment Code></i> <i>EX <EX ID></i> <i>Normal Pledge Transactions</i> <i>Pledge Accept:</i> <i><PSN> CTRBO</i> <i><Pledgee BO ID></i> <i>Margin Funding Pledge Transactions</i> <i>Pledge Accept:</i> <i><PSN> CTRBO</i> <i><Pledgee BO ID (Margin Funding Account)></i>
38	Demat/Remat Rejected Qty or Demat/Remat Pending Qty or Lockin Qty / Pending Destat Qty / <i>Margin Pledge Quantity / Margin Re-Pledge Quantity / Normal Pledge Quantity / Margin Funding Pledge Quantity</i>	Number	Max (13.3) & Min (1.3)
39	Line Identifier - "07"	Number	2
40	ISIN	Char	12
41	ISIN Full Name	VarChar	100
42	Current Balance Quantity	Number	Max (13.3) & Min (1.3)
43	Safe Keep Balance Quantity	Number	Max (13.3) & Min (1.3)
44	Pledged Balance Quantity	Number	Max (13.3) & Min (1.3)
45	Free Balance Quantity	Number	Max (13.3) & Min (1.3)
46	Lockin Balance Quantity	Number	Max (13.3) & Min (1.3)

Sr. No.	Field Description	Field Type	Field Size
47	Earmarked Balance Quantity	Number	Max (13.3) & Min (1.3)
48	Lend Balance Quantity	Number	Max (13.3) & Min (1.3)
49	AVL Balance Quantity	Number	Max (13.3) & Min (1.3)
50	Borrowed Balance Quantity	Number	Max (13.3) & Min (1.3)
51	Re- Pledge Balance	Number	Max (13.3) & Min (1.3)
52	ISIN Level FreezeFlag + BOISIN Level Freeze Flag + Freeze Suspension Flag	Char	3
53	Settlement ID	VarChar	13
54	Liquidation / Winding Up Status	Number	2 0 – Not Under Liquidation / Winding Up 1 - Under liquidation / winding up 2 - Reference BIFR
55	Security Type	VarChar	4 EQ – Equity PREF – Preference Shares GSEC – Government Securities OTHR – Others MF – Mutual Funds
56	BO ISIN Holding Valuation	Number	16,3

Note: -

1. For every Line “01”, there will be a corresponding entry of BO Name and Address in DPF9 report.
2. If the BO does not have any transactions during the period and his current balance is also nil, then such BOs will not be printed in DPG5 report.
3. If the BO does not have any transactions during the period, but his current balance is not nil, then line “01”, line “02”, line “03”, line “05” are printed. Line “07 for each ISIN is printed after all the above lines are printed for all the ISINS of the BO.

4. If the BO has transactions during the period, then Line "01", Line "02", Line "03", Line "04" (for each transaction, there will be one Line "04"), Line "05" are printed. Line "07" for each ISIN is printed after all the above lines are printed for all the ISINs of the BO.
5. Memo balances if present are printed in line "06" after Line "05" (if current balance is not nil) or after Line "02" (if current balance is nil). Line "06" is a repeatable line.
6. The ISIN Full Name is to be printed in DPC9 Report in Line "02" for ISIN and Line "07" for Holding.
7. ISIN Liquidation / Winding-Up Status will be printed in Line "02" and Line "07" in DPG5 Report.
8. Pending Destat, Normal Pledge, Margin Pledge, Margin Re-pledge and Margin Funding Pledge Records will be printed in Line "06" for Memo Balance.
9. Security Type has been added in Lines 2 and 7 to display security type wise valuation and holding report.

Report Documentation

Report ID : DP36

Report Description : Pledge Master Report

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH	REMARKS
Identifier Indicating Pledge Details -01	NUMBER	02	
PLEDGE SEQ NUMB (PSN)	NUMBER	08	
PLEDGOR BO ID	VARCHAR2	16	
FIRST HOLDER'S FIRST NAME MIDDLE NAME LAST NAME	VARCHAR2	100 + 20 + 20 + 2	
SECOND HOLDER'S FIRST NAME MIDDLE NAME LAST NAME	VARCHAR2	100 + 20 + 20 + 2	
THIRD HOLDER'S FIRST NAME MIDDLE NAME LAST NAME	VARCHAR2	100 + 20 + 20 + 2	
PLEDGOR DP's INTERNAL REFERENCE NO	VARCHAR2	16	
PLEDGE BO ID	VARCHAR2	16	
FIRST HOLDER'S FIRST NAME MIDDLE NAME LAST NAME	VARCHAR2	100 + 20 + 20 + 2	
SECOND HOLDER'S FIRST NAME MIDDLE NAME LAST NAME	VARCHAR2	100 + 20 + 20 + 2	
THIRD HOLDER'S FIRST NAME MIDDLE NAME LAST NAME	VARCHAR2	100 + 20 + 20 + 2	
PLEDGE DP's INTERNAL REFERENCE NO	VARCHAR2	16	
PLEDGE STATUS	CHAR	01	
VERIFY FLAG	CHAR	01	
ISIN	CHAR	12	
ISIN SHORT NAME	VARCHAR2	20	
PLEDGE QUANTITY	NUMBER	17	
PLEDGE VALUE	NUMBER	17	
PLEDGE SET-UP DATE	DATE (DDMMYYYY: HH24MISS)	15	
PLEDGOR VERIFICATION DATE	DATE (DDMMYYYY: HH24MISS)	15	
PLEDGE ACCEPTANCE DATE	DATE (DDMMYYYY: HH24MISS)	15	
PLEDGE VERIFICATION DATE	DATE (DDMMYYYY: HH24MISS)	15	
REVERSAL DATE	DATE (DDMMYYYY: HH24MISS)	15	

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH	REMARKS
PLEDGE CLOSE DATE	DATE (DDMMYYYY: HH24MISS)	15	
PLEDGE EXPIRY DATE	DATE (DDMMYYYY)	8	
TOTAL UNPLEDGE QUANTITY	NUMBER	17	
TOTAL CONFISCATE DATE	NUMBER	17	
PLEDGE REMARKS	VARCHAR2	100	
FREE LOCKIN FLAG 1-FREE, 2-LOCKIN	CHAR	01	
LOCKIN ID	CHAR	16	
LOCKIN EXPIRY DATE	DATE (DDMMYYYY)	8	
LOCKIN REASON CODE	NUMBER	02	
LOCKIN REASON	VARCHAR2	40	
AGREEMENT NO	VARCHAR2	20	
PLEDGE PARENT SEQUENCE NUMBER	NUMBER	8	
MARGIN PLEDGE RE-PLEDGE IDENTIFIER	CHAR	2	MP- Margin Pledge, MR- Margin Re- Pledge
MARGIN PLEDGE PARENT SEQUENCE NUMBER	NUMBER	8	Available only for Margin Repledge
Exchange ID	NUMBER	2	
UCC	VARCHAR2	11	
Segment ID	CHAR	2	CM – Cash segment FO – Equity Derivatives Segment CD- Currency Derivative Segment DT – Debt Segment CO – Commodity Derivatives Segment SB – SLB Segment AL – ALL Segments
CC ID	NUMBER	2	
CM ID	VARCHAR2	8	
TM ID / CP ID	VARCHAR2	12	
ENTITY IDENTIFIER	CHAR	2	If TM ID / CP ID is TM ID then Entity identifier will be TM. If TM ID / CP ID is CP ID then Entity Identifier will be CP
Identifier Indicating Unpledge Details for a PSN -- 02	NUMBER	02	
PLEDGE SEQ NUMB (PSN)	NUMBER	08	
UNPLEDGE COUNTER	NUMBER	04	
UNPLEDGE QUANTITY	NUMBER	17	
UNPLEDGE INITIATED BY PLEDGOR/PLEDGE	CHAR	01	

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH	REMARKS
UNPLEDGE STATUS	CHAR	01	
UNPLEDGE VERIFY FLAG	CHAR	01	
UNPLEDGE SETUP DATE	DATE (DDMMYYYY: HH24MISS)	15	
UNPLEDGE PLEDGOR VERIFICATION DATE	DATE (DDMMYYYY: HH24MISS)	15	
UNPLEDGE ACCEPTANCE/REJECTION DATE	DATE (DDMMYYYY: HH24MISS)	15	
UNPLEDGE PLEDGEE VERIFICATION DATE	DATE (DDMMYYYY: HH24MISS)	15	
UNPLEDGE REVERSAL DATE	DATE (DDMMYYYY: HH24MISS)	15	
UPLEDGE REMARKS	VARCHAR2	100	
PLEDGOR DP's INTERNAL REFERENCE NO	VARCHAR2	16	
PLEDGE DP's INTERNAL REFERENCE NO	VARCHAR2	16	
MARGIN PLEDGE RE-PLEDGE IDENTIFIER	CHAR	2	MP- Margin Pledge, MR- Margin Re- Pledge
MARGIN PLEDGE PARENT SEQUENCE NUMBER	NUMBER	8	Available only for Margin Repledge
Exchange ID	NUMBER	2	
UCC	VARCHAR2	11	
Segment ID	CHAR	2	CM – Cash segment FO – Equity Derivatives Segment CD- Currency Derivative Segment DT – Debt Segment CO – Commodity Derivatives Segment SB – SLB Segment AL – ALL Segments
CC ID	NUMBER	2	
CM ID	VARCHAR2	8	
TM ID / CP ID	VARCHAR2	12	
ENTITY IDENTIFIER	CHAR	2	If TM ID / CP ID is TM ID then Entity identifier will be TM. If TM ID / CP ID is CP ID then Entity Identifier will be CP

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH	REMARKS
Identifier Indicating Confiscate Details for a PSN -- 03	NUMBER	02	
PLEDGE SEQ NUMB (PSN)	NUMBER	08	
CONFISCATE COUNTER	NUMBER	04	
CONFISCATE QUANTITY	NUMBER	17	
CONFISCATE STATUS	CHAR	01	
CONFISCATE VERIFY FLAG	CHAR	01	
CONFISCATE SETUP DATE	DATE (DDMMYYYY: HH24MISS)	15	
CONFISCATE ACCEPTANCE/REJECTION DATE	DATE (DDMMYYYY: HH24MISS)	15	
CONFISCATE PLEDGEE VERIFICATION DATE	DATE (DDMMYYYY: HH24MISS)	15	
CONFISCATE REVERSAL DATE	DATE (DDMMYYYY: HH24MISS)	15	
CONFISCATE REMARKS	VARCHAR2	100	
PLEDGE DP's INTERNAL REFERENCE NO	VARCHAR2	16	
MARGIN PLEDGE RE-PLEDGE IDENTIFIER	CHAR	2	MP- Margin Pledge, MR- Margin Re-Pledge
MARGIN PLEDGE PARENT SEQUENCE NUMBER	NUMBER	8	Available only for Margin Repledge
Exchange ID	NUMBER	2	
UCC	VARCHAR2	11	
Segment ID	CHAR	2	CM – Cash segment FO – Equity Derivatives Segment CD- Currency Derivative Segment DT – Debt Segment CO – Commodity Derivatives Segment SB – SLB Segment AL – ALL Segments
CC ID	NUMBER	2	
CM ID	VARCHAR2	8	
TM ID / CP ID	VARCHAR2	12	
ENTITY IDENTIFIER	CHAR	2	If TM ID / CP ID is TM ID then Entity identifier will be TM. If TM ID / CP ID is CP ID then Entity Identifier will be CP

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH
Identifier Indicating Summary Line -- 00	NUMBER	02
Total Number of Lines	NUMBER	04
Business Date	CHAR	11
System Date and Time	Char	24

Report Documentation

Report ID : DP37

Report Description : Online DP36(Pledge Master Report)

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH	REMARKS
Identifier Indicating Pledge Details --01	NUMBER	02	
PLEDGE SEQ NUMB (PSN)	NUMBER	08	
PLEDGOR BO ID	VARCHAR2	16	
FIRST HOLDER'S FIRST NAME MIDDLE NAME LAST NAME	VARCHAR2	100 + 20 + 20 + 2	
SECOND HOLDER'S FIRST NAME MIDDLE NAME LAST NAME	VARCHAR2	100 + 20 + 20 + 2	
THIRD HOLDER'S FIRST NAME MIDDLE NAME LAST NAME	VARCHAR2	100 + 20 + 20 + 2	
PLEDGOR DP's INTERNAL REFERENCE NO	VARCHAR2	16	
PLEDGEEO BO ID	VARCHAR2	16	
FIRST HOLDER' S FIRST NAME MIDDLE NAME LAST NAME	VARCHAR2	100 + 20 + 20 + 2	
SECOND HOLDER'S FIRST NAME MIDDLE NAME LAST NAME	VARCHAR2	100 + 20 + 20 + 2	
THIRD HOLDER'S FIRST NAME MIDDLE NAME LAST NAME	VARCHAR2	100 + 20 + 20 + 2	
PLEDGEEO DP's INTERNAL REFERENCE NO	VARCHAR2	16	
PLEDGE STATUS	CHAR	01	
VERIFY FLAG	CHAR	01	
ISIN	CHAR	12	
ISIN SHORT NAME	VARCHAR2	20	
PLEDGE QUANTITY	NUMBER	17	
PLEDGE VALUE	NUMBER	17	
PLEDGE SET-UP DATE	DATE (DDMMYYYY:H H24MISS)	15	
PLEDGOR VERIFICATION DATE	DATE (DDMMYYYY:H H24MISS)	15	
PLEDGE ACCEPTANCE DATE	DATE (DDMMYYYY:H H24MISS)	15	
PLEDGEEO VERIFICATION DATE	DATE (DDMMYYYY:H H24MISS)	15	

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH	REMARKS
REVERSAL DATE	1.1.1.1.1.1 DATE 1.1.1.1.1.2 (DDMMYYYY:H H24MISS)	15	
PLEDGE CLOSE DATE	DATE (DDMMYYYY:H H24MISS)	15	
PLEDGE EXPIRY DATE	DATE (DDMMYYYY)	8	
TOTAL UNPLEDGE QUANTITY	NUMBER	17	
TOTAL CONFISCATE DATE	NUMBER	17	
PLEDGE REMARKS	VARCHAR2	100	
FREE LOCKIN FLAG 1-FREE, 2-LOCKIN	CHAR	01	
LOCKIN ID	CHAR	16	
LOCKIN EXPIRY DATE	DATE (DDMMYYYY)	8	
LOCKIN REASON CODE	NUMBER	02	
LOCKIN REASON	VARCHAR2	40	
AGREEMENT NO	VARCHAR2	20	
PLEDGE PARENT SEQUENCE NUMBER	NUMBER	8	
MARGIN PLEDGE RE-PLEDGE IDENTIFIER	CHAR	2	MP- Margin Pledge, MR- Margin Re-Pledge
MARGIN PLEDGE PARENT SEQUENCE NUMBER	NUMBER	8	Available only for Margin Repledge
Exchange ID	NUMBER	2	
UCC	VARCHAR2	11	
Segment ID	CHAR	2	CM – Cash segment FO – Equity Derivatives Segment CD- Currency Derivative Segment DT – Debt Segment CO – Commodity Derivatives Segment SB – SLB Segment AL – ALL Segments
CC ID	NUMBER	2	
CM ID	VARCHAR2	8	
TM ID / CP ID	VARCHAR2	12	
FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH	REMARKS
ENTITY IDENTIFIER	CHAR	2	If TM ID / CP ID is TM ID then Entity identifier will be TM. If TM ID / CP ID is CP ID then Entity Identifier will be CP
Identifier Indicating Unpledge Details for a PSN – 02	NUMBER	02	

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH	REMARKS
PLEDGE SEQ NUMB (PSN)	NUMBER	08	
UNPLEDGE COUNTER	NUMBER	04	
UNPLEDGE QUANTITY	NUMBER	17	
UNPLEDGE INITIATED BY PLEDGOR/PLEDGE	CHAR	01	
UNPLEDGE STATUS	CHAR	01	
UNPLEDGE VERIFY FLAG	CHAR	01	
UNPLEDGE SETUP DATE	DATE (DDMMYYYY:H H24MISS)	15	
UNPLEDGE PLEDGOR VERIFICATION DATE	DATE (DDMMYYYY:H H24MISS)	15	
UNPLEDGE ACCEPTANCE/REJECTION DATE	DATE (DDMMYYYY:H H24MISS)	15	
UNPLEDGE PLEDGEE VERIFICATION DATE	DATE (DDMMYYYY:H H24MISS)	15	
UNPLEDGE REVERSAL DATE	DATE (DDMMYYYY:H H24MISS)	15	
UPLEDGE REMARKS	VARCHAR2	100	
PLEDGOR DP's INTERNAL REFERENCE NO	VARCHAR2	16	
PLEDGE DP's INTERNAL REFERENCE NO	VARCHAR2	16	
MARGIN PLEDGE RE-PLEDGE IDENTIFIER	CHAR	2	MP- Margin Pledge, MR- Margin Re-Pledge
MARGIN PLEDGE PARENT SEQUENCE NUMBER	NUMBER	8	Available only for Margin Repledge
Exchange ID	NUMBER	2	
UCC	VARCHAR2	11	
Segment ID	CHAR	2	CM – Cash segment FO – Equity Derivatives Segment CD- Currency Derivative Segment DT – Debt Segment CO – Commodity Derivatives Segment SB – SLB Segment AL – ALL Segments
CC ID	NUMBER	2	
CM ID	VARCHAR2	8	
TM ID / CP ID	VARCHAR2	12	
ENTITY IDENTIFIER	CHAR	2	If TM ID / CP ID is TM ID then Entity identifier will be TM. If TM ID / CP ID is CP ID then Entity Identifier will be CP
Identifier Indicating Confiscate Details for a PSN -- 03	NUMBER	02	
PLEDGE SEQ NUMB (PSN)	NUMBER	08	
CONFISCATE COUNTER	NUMBER	04	
CONFISCATE QUANTITY	NUMBER	17	
CONFISCATE STATUS	CHAR	01	

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH	REMARKS
CONFISCATE VERIFY FLAG	CHAR	01	
CONFISCATE SETUP DATE	DATE (DDMMYYYY:H H24MISS)	15	
CONFISCATE ACCEPTANCE/RECJECTION DATE	DATE (DDMMYYYY:H H24MISS)	15	
CONFISCATE PLEDGEE VERIFICATION DATE	DATE (DDMMYYYY:H H24MISS)	15	
CONFISCATE REVERSAL DATE	DATE (DDMMYYYY:H H24MISS)	15	
CONFISCATE REMARKS	VARCHAR2	100	
PLEDGEE DP's INTERNAL REFERENCE NO	VARCHAR2	16	
MARGIN PLEDGE RE-PLEDGE IDENTIFIER	CHAR	2	MP- Margin Pledge, MR- Margin Re-Pledge
MARGIN PLEDGE PARENT SEQUENCE NUMBER	NUMBER	8	Available only for Margin Repledge
Exchange ID	NUMBER	2	
UCC	VARCHAR2	11	
Segment ID	CHAR	2	CM – Cash segment FO – Equity Derivatives Segment CD- Currency Derivative Segment DT – Debt Segment CO – Commodity Derivatives Segment SB – SLB Segment AL – ALL Segments
CC ID	NUMBER	2	
CM ID	VARCHAR2	8	
TM ID / CP ID	VARCHAR2	12	
ENTITY IDENTIFIER	CHAR	2	If TM ID / CP ID is TM ID then Entity identifier will be TM. If TM ID / CP ID is CP ID then Entity Identifier will be CP

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH
Identifier Indicating Summary Line -- 00	NUMBER	02
Total Number of Lines	NUMBER	04
Business Date	CHAR	11
System Date and Time	Char	24

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
1	RECORD IDENTIFIER	CHAR	1	D
2	TRANSACTION TYPE	NUMBER	3	8 (PLEDGE)
3	BO ID	CHAR	16	PLEDGOR BO ID / PLEDGEE BO ID (FOR CR./DB. PLEDGEE TXNS)
4	ISIN	CHAR	12	ISIN
5	TRANSACTION ID	NUMBER	9	PLEDGE SEQUENCE NUMBER (PSN)
6	QUANTITY	NUMBER	16,3	PLEDGE QUANTITY
7	TRANSACTION STATUS	NUMBER	6	801 - Setup initiated by Pledgor maker 802 - Setup Approve by Pledgor Checker (Cr. PSB) 818 - Setup Approved by Pledgor Checker (Db. LB) 804 - Setup Cancel By Pledgor (Db. PSB) 819 - Setup Cancel By Pledgor (Cr. LB) 805 - Setup Accept by Pledgee Maker 806 - Setup Reject by Pledgee Maker 807 - Setup Reject by Pledgee Checker (Db. PSB) 820 - Setup Reject by Pledgee Checker (Cr. LB) 809 - Setup Accept by Pledgee Checker (Cr. PB) 810 - Setup Accept by Pledgee Checker (DB PSB) 821 - Setup Accept by Pledgee Checker (Cr PEB---Pledgee) 811 - Reversal By Pledgee 812 - Cancelled due to Auto CA (Db. PSB for SC, AM, RM) 813 - Transferred due to Auto CA (Db. PB) 814 - Closed due to Auto CA (Db. PB) 822 - Transferred/Closed due to Auto CA (Db. PEB) 815 - Pledge Cancel In EOD 816 - Pledge Reject In EOD 803 - Modify by Pledgee Maker 808 - Modify Accept by Pledgee Checker 817 - Modify Reject by Pledgee Checker 823 - Pledge rejection in EOD due to insufficient balance 824 - Pending Setup By Pledgor Maker 825 - Pending Setup By Pledgor Checker

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				826 -Overdue Setup By Pledgor Checker 827 – Pledge Reversal By pledgor Checker 828 - Margin Pledge Setup Initiated by Pledgor Maker 829 - Margin Pledge Setup Approve by Pledgor Checker (Cr. PSB) 830 - Margin Pledge Setup Accept by Pledgee Maker 831 - Margin Pledge Setup Accept by Pledgee Checker (Cr. PB) 832 - Margin Pledge Setup Accept by Pledgee Checker (DB PSB) 833 - Margin Pledge Setup Accept by Pledgee Checker (Cr PEB---Pledgee) 834 - Margin Re-pledge Setup Initiated by Pledgor Maker 835 - Margin Re-pledge Setup Approve by Pledgor Checker 836 - Margin Re-pledge Setup Accept by Pledgee Maker 837 - Margin Re-pledge Setup Accept by Pledgee Checker (Cr. RPB --- Pledgor) 838 - Margin Re-pledge Setup Accept by Pledgee Checker (Cr PEB---Pledgee)
8	TRANSACTION SETUP DATE	DATE	14	PLEDGE SETUP DATE
9	BUSINESS DATE	DATE	14	BUSINESS DATE
10	FREE / LOCKIN FLAG	CHAR	1	<ul style="list-style-type: none"> F – FREE L – LOCK IN
11	COUNTER BO ID	CHAR	16	PLEDGE BO ID / PLEDGOR BO ID
12	Original PSN	CHAR	8	PARENT MARGIN PLEDGE PSN (Applicable in case of NEW MARGIN REPLEDGE Setup)
13	UCC	CHAR	13	UCC in case of Margin Pledge/Margin Re-Pledge
14	Segment ID	CHAR	13	Segment ID in case of Margin Pledge/Margin Re-Pledge CM – Cash segment FO – Equity Derivatives Segment CD- Currency Derivative Segment DT – Debt Segment

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				CO – Commodity Derivatives Segment SB – SLB Segment AL – ALL Segments
15	PARENT PSN	CHAR	8	PARENT PSN (FOR NEW PSN CREATED DUE TO AUTO CA)
16	PLEDGE EXECUTION DATE	DATE	14	PLEDGE EXECUTION DATE
17	FIELD 4	NUMBER	6	NA
18	DOCUMENT NUMBER	CHAR	20	PLEDGE REQUEST FORM (PRF) NUMBER
19	AGREEMENT NUMBER	CHAR	20	AGREEMENT NUMBER
20	PLEDGE DP INTERNAL REFERENCE NUMBER	CHAR	30	PLEDGE DP INTERNAL REFERENCE NUMBER
21	CA SEQUENCE NUMBER	NUMBER	8	CA SEQUENCE NUMBER
22	LOCK IN CODE	NUMBER	2	<ul style="list-style-type: none"> • 1 – DIRECTORS / RELATIVE QUOTA • 2 – EMPLOYEE QUOTA • 3 – PREFERENTIAL QUOTA • 4 – PROMOTERS QUOTA • 5 – UNDERWRITERS QUOTA • 6 – PRIVATE PLACEMENT • 7 – OTHERS • 8 – ELSS2005
23	LOCK IN EXPIRY DATE	DATE	14	LOCK IN EXPIRY DATE
24	PLEDGE EXPIRY DATE	DATE	14	PLEDGE EXPIRY DATE
25	MAKER CHECKER FLAG	CHAR	1	M – INITIATED C – VERIFIED
26	FIELD 5	CHAR	1	NA
27	CA TYPE	NUMBER	2	CA TYPE
28	FIELD 6	DATE	14	NA
29	PLEDGE VALUE	NUMBER	16,3	PLEDGE VALUE
30	PLEDGE BALANCE	NUMBER	16,3	PLEDGE BALANCE DEBIT DUE TO AUTO CA
31	PLEDGE AVAILABLE QUANTITY	NUMBER	16,3	REMAINING QUANTITY AVAILABLE FOR FURTHER UNPLEDGE AND / OR CONFISCATE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
32	FIELD 7	NUMBER	16,3	NA
33	FINANCIAL FLAG	NUMBER	2	<ul style="list-style-type: none"> • 0 – SETUP INITIATED BY PLEDGOR MAKER • 0 – SETUP MODIFIED BY PLEDGOR MAKER • 0 – SETUP INITIATED BY PLEDGEE MAKER • 0 – SETUP REJECTED BY PLEDGEE MAKER • 0 – REVERSAL BY PLEDGEE • 0 – CANCELLED DUE TO AUTO CA • 1 - SETUP APPROVE BY PLEDGOR CHECKER (CREDIT PLEDGE SETUP BALANCE) • 2 - SETUP APPROVE BY PLEDGOR CHECKER (IF LOCK IN FLAG = 'Y' DEBIT LOCK IN BALANCE IN PLEDGOR BO ACCOUNT) • 1 - SETUP CANCEL BY PLEDGOR (IF LOCK IN FLAG = 'Y' , DEBIT PLEDGE SETUP BALANCE, CREDIT LOCK IN BALANCE IN PLEDGOR BO ACCOUNT) • 1 - SETUP REJECT BY PLEDGEE CHECKER (DB. PSB, CR. LB) (IF LOCK IN FLAG = 'Y' , DEBIT PLEDGE SETUP BALANCE, CREDIT LOCK IN BALANCE IN PLEDGOR BO ACCOUNT) • 1 - SETUP ACCEPT BY PLEDGEE CHECKER (DB. PSB, CR. PB, CR. PLEDGEE BO PEB) (DEBIT PLEDGE SETUP BALANCE, CREDIT PLEDGE IN BALANCE IN PLEDGOR BO ACCOUNT, CREDIT PLEDGE BALANCE IN PLEDGEE BO ACCOUNT) • 0 - CANCELLED DUE TO AUTO CA (FOR SETUP-MAKER) • 1 - CANCELLED DUE TO AUTO CA (DEBIT PLEDGE SETUP BALANCE IN PLEDGOR BO ACCOUNT) • 1 - TRANSFERRED DUE TO AUTO CA (DEBIT PLEDGE BALANCE IN PLEDGOR BO ACCOUNT, DEBIT PLEDGE BALANCE IN PLEDGEE BO ACCOUNT) • 1 - CLOSED DUE TO AUTO CA (DEBIT PLEDGE BALANCE IN PLEDGOR BO ACCOUNT, DEBIT PLEDGE BALANCE IN PLEDGEE BO ACCOUNT) • 0 - MODIFY BY PLEDGEE MAKER • 0 - MODIFY ACCEPT BY PLEDGEE CHECKER • 0 - MODIFY REJECT BY PLEDGEE CHECKER • 0 – CANCELLED IN EOD

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				<ul style="list-style-type: none"> 1 – REJECTED IN EOD
34	EFTS CODE	NUMBER	2	35 - Setup Approve by Pledgor Checker (Cr. PSB) 35 - Setup Approve by Pledgor Checker, If lockin flag = 'Y' (Db. LB) 35 - Setup Cancel By Pledgor (Db. PSB, Cr. LB) 35 - Setup Reject by Pledgee Checker (Db. PSB, Cr. LB) 35 - Setup Accept by Pledgee Checker (Cr. PB) 35 - Setup Accept by Pledgee Checker, If lockin flag = 'Y' (Db. LB) 16 - Cancelled due to Auto CA (Db. PSB for SC, AM, RM) 16 - Transferred due to Auto CA (Db. PB, Db. Pledgee BO PEB) 16 - Closed due to Auto CA (Db. PB, Db. Pledgee BO PEB) 35 - Rejected in EOD NA - For Others
35	TRANSACTION CODE	NUMBER	4	3408 - SETUP INITIATED BY PLEDGOR MAKER (801 & 824) 2220 - SETUP APPROVE BY PLEDGOR CHECKER (802) 2262 - SETUP APPROVE BY PLEDGOR CHECKER, IF LOCK IN FLAG = 'Y' (DB. LB -818) 2270 - SETUP CANCEL BY PLEDGOR (DR. PSB -804) 2212 - SETUP CANCEL BY PLEDGOR, IF LOCK IN FLAG = 'Y' (CR. LB -819) 3409 - SETUP ACCEPT BY PLEDGEE MAKER (805) 2270 - SETUP ACCEPT BY PLEDGEE CHECKER (DB. PSB -810) 2230 - SETUP ACCEPT BY PLEDGEE CHECKER (CR. PB -809) 2225 - SETUP ACCEPT BY PLEDGEE CHECKER (CR. PLEDGEE BO PEB -821) 3409 - SETUP REJECT BY PLEDGEE MAKER (806) 2270 - SETUP REJECT BY PLEDGEE CHECKER(807) 2212 - SETUP REJECT BY PLEDGEE CHECKER, IF LOCK IN FLAG = 'Y' (CR. LB -820) 3409 - REVERSAL BY PLEDGEE (811) 3463 - CANCELLED DUE TO AUTO CA (812 - FOR SM)

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				2270 - CANCELLED DUE TO AUTO CA (DB. PSB - 812 FOR SC, AM, RM) 2280 - TRANSFERRED DUE TO AUTO CA (DB. PB -813) 2275 - TRANSFERRED DUE TO AUTO CA (DB. PLEDGEE BO PEB -822) 2280 - CLOSED DUE TO AUTO CA (DB. PB -814) 2275 - CLOSED DUE TO AUTO CA (DB. PLEDGEE BO PEB - 822) 3443 - PLEDGE CANCEL IN EOD (815 & 823) 2270 - PLEDGE REJECT IN EOD (DB. PSB - 816 FOR SC, AM, RM) 2212 - PLEDGE REJECT IN EOD, IF LOCK IN FLAG = 'Y' (CR. LB -816) 3464 - PLEDGEE MODIFY MAKER (803) 3465 - PLEDGEE MODIFY CHECKER ACCEPT (808) 3466 - PLEDGEE MODIFY CHECKER REJECT (817) 3467 - PENDING SETUP BY PLEDGOR CHECKER(825) 3467 - OVER DUE SETUP BY PLEDGOR CHECKER(826) 3468 - PLEDGE REVERSAL BY PLEDGOR CHECKER (827) 3469 - MODIFICATION INITIATED BY PLEDGOR MAKER (827) 3412-- MARGIN PLEDGE SETUP INITIATED BY PLEDGOR MAKER(828) 2220-- CREDIT PLEDGE SETUP BALANCE(829) 3413-- MARGIN PLEDGE SETUP ACCEPT BY PLEDGEE MAKER(830) 2230-- CREDIT PLEDGE BALANCE(831) 2270-- DEBIT PLEDGE SETUP BALANCE(832) 2225-- CREDIT PLEDGEE BALANCE(833) 3414-- MARGIN RE-PLEDGE SETUP INITIATED BY PLEDGOR MAKER(834) 3415-- MARGIN RE-PLEDGE SETUP APPROVE BY PLEDGOR CHECKER(835) 3416-- MARGIN RE-PLEDGE SETUP ACCEPT BY PLEDGEE MAKER(836) 2231 --CREDIT RE-PLEDGE BALANCE(PLEDGOR)(837) 2225-- CREDIT PLEDGEE BALANCE(838)

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
36	MODIFICATION FLAG	NUMBER	2	<ul style="list-style-type: none"> • 0 – NOT MODIFIED • 1 – MODIFIED
37	PLEDGOR DP INTERNAL REFERENCE NUMBER	CHAR	16	PLEDGOR DP INTERNAL REFERENCE NUMBER
38	PLEDGOR DP REMARKS	CHAR	100	PLEDGOR DP REMARKS
39	PLEDGE DP REMARKS	CHAR	100	PLEDGE DP REMARKS
40	OPERATOR ID	CHAR	6	OPERATOR ID
41	TRANSACTION SOURCE	NUMBER	2	1 – ONLINE 2 – UPLOAD, 4 – EASIEST UPLOAD
42	LAST MODIFICATION DATE	DATE	14	LAST MODIFICATION DATE
43	LOCK IN ID	CHAR	16	LOCK IN ID
44	FIELD 8	CHAR	9	NA
45	EXCHANGE ID	NUMBER	2	EXCHANGE ID IN CASE OF MARGIN PLEDGE/RE-PLEDGE
46	CM ID	CHAR	16	CM ID IN CASE OF MARGIN PLEDGE/RE-PLEDGE
47	TM ID / CP ID	CHAR	100	TM ID/CP ID IN CASE OF MARGIN PLEDGE/RE-PLEDGE
48	ENTITY IDENTIFIER	CHAR	14	ENTITY IDENTIFIER IN CASE OF MARGIN PLEDGE/RE-PLEDGE CP= CP ID TM= TM ID
49	FILLER 5		19	FOR FUTURE USE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
1	RECORD IDENTIFIER	CHAR	1	D
2	TRANSACTION TYPE	NUMBER	3	9 (UNPLEDGE)
3	BO ID	CHAR	16	PLEDGOR BO ID/ PLEDGEE BO ID
4	ISIN	CHAR	12	ISIN
5	TRANSACTION ID	NUMBER	9	PLEDGE SEQUENCE NUMBER (PSN)
6	UNPLEDGE QUANTITY	NUMBER	16,3	UNPLEDGE PART QUANTITY
7	TRANSACTION STATUS	NUMBER	6	<ul style="list-style-type: none"> • 901 – SETUP INITIATED BY PLEDGOR MAKER • 902 – SETUP APPROVED BY PLEDGOR CHECKER • 903 – SETUP CANCELLED BY PLEDGOR • 904 – SETUP ACCEPTED BY PLEDGEE MAKER • 905 – SETUP ACCEPTED BY PLEDGEE CHECKER (DEBIT PLEDGE BALANCE IN PLEDGOR BO ACCOUNT, DEBIT PLEDGE BALANCE IN PLEDGEE BO ACCOUNT) • 906 – SETUP REJECTED BY PLEDGEE MAKER • 907 – SETUP REJECTED BY PLEDGEE CHECKER • 908 – REVERSAL BY PLEDGEE • 909 – CANCELLED DUE TO AUTO CA • 910 – CANCELLED IN EOD • 911 REJECTED IN EOD • 912 - SETUP APPROVED BY PLEDGEE CHECKER (DEBIT PLEDGE BALANCE IN PLEDGOR BO ACCOUNT, DEBIT PLEDGE BALANCE IN PLEDGEE BO ACCOUNT) • 913 - SETUP APPROVED BY PLEDGEE CHECKER (CREDIT LOCK IN BALANCE IN PLEDGOR BO ACCOUNT, DEBIT PLEDGE BALANCE IN PLEDGEE BO ACCOUNT) • 914 - Margin Repledge Unpledge Setup Initiated by Pledgor Maker • 915 - Margin Repledge Unpledge Setup Approved by Pledgor Checker • 916 - Margin Repledge Unpledge Setup Accept by Pledgee Maker • 917 - Margin Repledge Unpledge Setup Accept by Pledgee Checker (Db. RPB --- Pledgor)

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				<ul style="list-style-type: none"> • 918 - Margin Repledge Unpledge Setup Accept by Pledgee Checker (Db. Pledgee BO PEB) • 919 - Margin Pledge Unpledge Setup Initiated by Pledgor Maker • 920 - Margin Pledge Unpledge Setup Approved by Pledgor Checker • 921 - Margin Pledge Unpledge Setup Accept by Pledgee Maker • 924 - Margin Pledge Unpledge Setup Accept by Pledgee Checker (Db. PB) • 925 - Margin Pledge Unpledge Setup Accept by Pledgee Checker (Db. Pledgee BO PEB)
8	TRANSACTION SETUP DATE	DATE	14	UNPLEDGE SETUP DATE
9	BUSINESS DATE	DATE	14	BUSINESS DATE
10	FREE / LOCK IN FLAG	CHAR	1	F – FREE L – LOCK IN
11	COUNTER BO ID	CHAR	16	PLEDGE BO ID / PLEDGOR BO ID
12	FIELD 1	CHAR	8	NA
13	UCC	CHAR	13	UCC in case of Margin Pledge/Re-Pledge
14	Segment ID	CHAR	13	Segment ID in case of Margin Pledge/Re-Pledge CM – Cash segment FO – Equity Derivatives Segment CD- Currency Derivative Segment DT – Debt Segment CO – Commodity Derivatives Segment SB – SLB Segment AL – ALL Segments
15	FIELD 4	CHAR	8	NA
16	FIELD 5	DATE	14	NA
17	UNPLEDGE COUNTER	NUMBER	6	UNPLEDGE COUNTER
18	DOCUMENT NUMBER	CHAR	20	UNPLEDGE REQUEST FORM NUMBER (URF)
19	AGREEMENT NUMBER	CHAR	20	AGREEMENT NUMBER

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
20	PLEDGE DP INTERNAL REFERENCE NUMBER	CHAR	30	PLEDGE DP INTERNAL REFERENCE NUMBER
21	CA SEQUENCE NUMBER	NUMBER	8	CA SEQUENCE NUMBER
22	LOCK IN CODE	NUMBER	2	<ul style="list-style-type: none"> • 1 – DIRECTORS / RELATIVE QUOTA • 2 – EMPLOYEE QUOTA • 3 – PREFERENTIAL QUOTA • 4 – PROMOTERS QUOTA • 5 – UNDERWRITERS QUOTA • 6 – PRIVATE PLACEMENT • 7 – OTHERS • 8 – ELSS2005
23	LOCK IN EXPIRY DATE	DATE	14	LOCK IN EXPIRY DATE
24	PLEDGE EXPIRY DATE	DATE	14	PLEDGE EXPIRY DATE
25	MAKER CHECKER FLAG	CHAR	1	M – INITIATED C – VERIFIED
26	FIELD 7	CHAR	1	NA
27	CA TYPE	NUMBER	2	CA TYPE
28	FIELD 9	DATE	14	NA
29	TOTAL PLEDGED QUANTITY	NUMBER	16,3	TOTAL PLEDGED QUANTITY
30	TOTAL QUANTITY (UNPLEDGE VERIFIED + CONFISCATION VERIFIED)	NUMBER	16,3	TOTAL QUANTITY (UNPLEDGE VERIFIED + CONFISCATION VERIFIED)
31	QUANTITY AVAILABLE (FOR UNPLEDGE / CONFISCATION)	NUMBER	16,3	QUANTITY AVAILABLE (FOR UNPLEDGE / CONFISCATION)
32	TOTAL QUANTITY (UNPLEDGE INITIATED + CONFISCATION INITIATED)	NUMBER	16,3	TOTAL QUANTITY (UNPLEDGE INITIATED + CONFISCATION INITIATED)

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
33	FINANCIAL FLAG	NUMBER	2	<ul style="list-style-type: none"> • 0 – SETUP INITIATED BY PLEDGOR MAKER (901) • 0 – SETUP APPROVED BY PLEDGOR CHECKER (902) • 0 – SETUP CANCELLED BY PLEDGOR – (3409) (903) • 0 – SETUP ACCEPTED BY PLEDGEE MAKER (904) • 0 – SETUP REJECTED BY PLEDGEE MAKER (906) • 0 – SETUP REJECTED BY PLEDGEE CHECKER (907) • 0 – REVERSAL BY PLEDGEE (908) • 1 – SETUP ACCEPTED BY PLEDGEE CHECKER (DEBIT PLEDGE BALANCE - 905) • 1 - SETUP ACCEPT BY PLEDGEE CHECKER (DEBIT PLEDGE BALANCE IN PLEDGEE BO ACCOUNT - 910) • 2 – SETUP ACCEPTED BY PLEDGEE CHECKER (IF LOCKIN FLAG = 'Y' CREDIT LOCKIN BALANCE - 911) • 0 – CANCELLED DUE TO AUTO CA – (909)
34	EFTS CODE	NUMBER	2	<ul style="list-style-type: none"> • 35 – SETUP ACCEPTED BY PLEDGEE CHECKER (905) • 35 – SETUP ACCEPTED BY PLEDGEE CHECKER (IF LOCKIN FLAG = 'Y' CREDIT LOCKIN BALANCE - 911) • 35 - SETUP ACCEPT BY PLEDGEE CHECKER (DEBIT PLEDGE BALANCE IN PLEDGEE BO ACCOUNT - 910) • NA – FOR OTHERS
35	TRANSACTION CODE	NUMBER	4	3409 - SETUP INITIATED BY PLEDGOR MAKER 3409 - SETUP APPROVE BY PLEDGOR CHECKER 3409 - SETUP CANCEL BY PLEDGOR 3409 - SETUP ACCEPT BY PLEDGEE MAKER 2280 - SETUP ACCEPT BY PLEDGEE CHECKER (DB. PB-905) 2275 - SETUP ACCEPT BY PLEDGEE CHECKER (DB. PLEDGEE BO PEB-910) 2212 - SETUP ACCEPT BY PLEDGEE CHECKER, IF LOCK IN FLAG = 'Y' (CR. LB-911) 3409 - SETUP REJECT BY PLEDGEE MAKER 3409 - SETUP REJECT BY PLEDGEE CHECKER 3409 - REVERSAL BY PLEDGEE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				3463 - CANCELLED DUE TO AUTO CA 3451 - CANCELLED IN EOD/REJECTED IN EOD <i>3418 - Margin Repledge Unpledge Setup Initiated by Pledgor Maker(914)</i> <i>3419 - Margin Repledge Unpledge Setup Approved by Pledgor Checker(915)</i> <i>3420 - Margin Repledge Unpledge Setup Accept by Pledgee Maker(916)</i> <i>2281 - Margin Repledge Unpledge Setup Accept by Pledgee Checker (Debit Re-Pledge Balance) (917)</i> <i>2275 - Margin Repledge Unpledge Setup Accept by Pledgee Checker (Debit Pledgee Balance) (918)</i> <i>3421 - Margin Pledge Unpledge Setup Initiated by Pledgor Maker (919)</i> <i>3422 - Margin Pledge Unpledge Setup Approved by Pledgor Checker (920)</i> <i>3423 - Margin Pledge Unpledge Setup Accept by Pledgee Maker (921)</i> <i>2280 - Margin Pledge Unpledge Setup Accept by Pledgee Checker (Debit Pledge Balance) (922)</i> <i>2275 - Margin Pledge Unpledge Setup Accept by Pledgee Checker (Debit Pledgee Balance) (923)</i>
36	MODIFICATION FLAG	NUMBER	2	1 - MODIFIED 0 - NOT MODIFIED
37	PLEDGOR DP INTERNAL REFERENCE NUMBER	CHAR	16	PLEDGOR DP INTERNAL REFERENCE NUMBER
38	PLEDGOR DP REMARKS	CHAR	100	PLEDGOR DP REMARKS
39	PLEDGE DP REMARKS	CHAR	100	PLEDGE DP REMARKS
40	OPERATOR ID	CHAR	6	OPERATOR ID

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
41	TRANSACTION SOURCE	NUMBER	2	1 – ONLINE 2 – UPLOAD
42	LAST MODIFICATION DATE	DATE	14	LAST MODIFICATION DATE
43	LOCK IN ID	CHAR	16	LOCK IN ID
44	FIELD 11	CHAR	9	NA
45	Exchange ID	NUMBER	2	Exchange ID in case of Margin Pledge/Re-Pledge
46	CM ID	CHAR	16	CM ID in case of Margin Pledge/Re-Pledge
47	TM ID/CP ID	CHAR	100	TM ID/CP ID in case of Margin Pledge/Re-Pledge
48	Entity Identifier	CHAR	14	Entity Identifier in case of Margin Pledge/Re-Pledge CP= CP ID TM= TM ID
49	FILLER 5		19	FOR FUTURE USE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
1	RECORD IDENTIFIER	CHAR	1	D
2	TRANSACTION TYPE	NUMBER	3	10 (AUTO UNPLEDGE)
3	BO ID	CHAR	16	PLEDGOR BO ID / PLEDGEE BO ID (FOR CREDIT / DEBIT PLEDGEE TXNS)
4	ISIN	CHAR	12	ISIN
5	TRANSACTION ID	NUMBER	9	PLEDGE SEQUENCE NUMBER (PSN)
6	UNPLEDGE QUANTITY	NUMBER	16,3	UNPLEDGE PART QUANTITY
7	TRANSACTION STATUS	NUMBER	6	<ul style="list-style-type: none"> • 1001 – AUTO UNPLEDGE MAKER • 1002 – AUTO UNPLEDGE CHECKER (DEBIT PLEDGE BALANCE IN PLEDGOR BO ACCOUNT) • 1007 – AUTO UNPLEDGE CHECKER (IF LOCK IN FLAG = 'Y', CREDIT LOCK IN BALANCE IN PLEDGOR BO ACCOUNT) • 1003 – AUTO UNPLEDGE CANCEL • 1004 – CANCELLED DUE TO AUTO CA • 1006 – AUTO UNPLEDGE CHECKER (DEBIT PLEDGE BALANCE IN PLEDGEE BO ACCOUNT) • 1005 – REVERSAL IN EOD • 1008 - Margin Repledge AutoUnpledge Maker • 1009 - Margin Repledge AutoUnpledge Checker (Db. RPB --- Pledgor) • 1010 - Margin Repledge AutoUnpledge Checker (Db. PEB --- Pledgee) • 1011 - Margin Pledge AutoUnpledge Maker • 1012 - Margin Pledge AutoUnpledge Checker (Db. PB --- Pledgor) • 1013 - Margin Pledge AutoUnpledge Checker (Db.PEB --- Pledgee)
8	TRANSACTION SETUP DATE	DATE	14	UNPLEDGE SETUP DATE
9	BUSINESS DATE	DATE	14	BUSINESS DATE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
10	FREE / LOCK IN FLAG	CHAR	1	• F – FREE L – LOCK IN
11	COUNTER BO ID	CHAR	16	PLEDGE BO ID / PLEDGOR BO ID
12	FIELD 1	CHAR	8	NA
13	UCC	CHAR	13	UCC in case of Margin Pledge/Re-Pledge
14	Segment ID	CHAR	13	Segment ID in case of Margin Pledge/Re-Pledge CM – Cash segment FO – Equity Derivatives Segment CD- Currency Derivative Segment DT – Debt Segment CO – Commodity Derivatives Segment SB – SLB Segment AL – ALL Segments
15	FIELD 4	CHAR	8	NA
16	FIELD 5	DATE	14	NA
17	UNPLEDGE PART COUNTER	NUMBER	6	AUTO UNPLEDGE PART COUNTER
18	DOCUMENT NUMBER	CHAR	20	UNPLEDGE REQUEST FORM NUMBER (URF)
19	AGREEMENT NUMBER	CHAR	20	AGREEMENT NUMBER
20	PLEDGE DP INTERNAL REFERENCE NUMBER	CHAR	30	PLEDGE DP INTERNAL REFERENCE NUMBER
21	CA SEQUENCE NUMBER	NUMBER	8	CA SEQUENCE NUMBER
22	LOCK IN CODE	NUMBER	2	<ul style="list-style-type: none"> • 1 – DIRECTORS / RELATIVE QUOTA • 2 – EMPLOYEE QUOTA • 3 – PREFERENTIAL QUOTA • 4 – PROMOTERS QUOTA • 5 – UNDERWRITERS QUOTA • 6 – PRIVATE PLACEMENT • 7 – OTHERS • 8 – ELSS2005
23	LOCK IN EXPIRY DATE	DATE	14	LOCK IN EXPIRY DATE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
24	PLEDGE EXPIRY DATE	DATE	14	PLEDGE EXPIRY DATE
25	MAKER CHECKER FLAG	CHAR	1	M – INITIATED C – VERIFIED
26	FIELD 7	CHAR	1	NA
27	CA TYPE	NUMBER	2	CA TYPE
28	FIELD 9	DATE	14	NA
29	TOTAL PLEDGED QUANTITY	NUMBER	16,3	TOTAL PLEDGED QUANTITY
30	TOTAL QUANTITY (UNPLEDGE VERIFIED + CONFISCATION VERIFIED)	NUMBER	16,3	TOTAL QUANTITY (UNPLEDGE VERIFIED + CONFISCATION VERIFIED)
31	QUANTITY AVAILABLE (FOR UNPLEDGE / CONFISCATION)	NUMBER	16,3	QUANTITY AVAILABLE (FOR UNPLEDGE / CONFISCATION)
32	TOTAL QUANTITY (UNPLEDGE INITIATED + CONFISCATION INITIATED)	NUMBER	16,3	TOTAL QUANTITY (UNPLEDGE INITIATED + CONFISCATION INITIATED)
33	FINANCIAL FLAG	NUMBER	2	<ul style="list-style-type: none"> • 0 – AUTO UNPLEDGE MAKER (1001) • 0 – AUTO UNPLEDGE CANCEL (1003) • 0 – CANCELLED DUE TO AUTO CA (1004) • 1 – AUTO UNPLEDGE CHECKER (DEBIT PLEDGE BALANCE IN PLEDGOR BO ACCOUNT - 1002) • 1 – AUTO UNPLEDGE CHECKER (DEBIT PLEDGE BALANCE IN PLEDGEE BO ACCOUNT - 1002) • 2 – AUTO UNPLEDGE CHECKER (IF LOCKIN FLAG = 'Y' CREDIT LOCKIN BALANCE - 1002)

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
34	EFTS CODE	NUMBER	2	<ul style="list-style-type: none"> 0 – AUTO UNPLEDGE MAKER – (1001) 0 – AUTO UNPLEDGE CANCEL – (1003) 0 – AUTO UNPLEDGE CANCELLED DUE TO AUTO CA (1004) 35 – AUTO UNPLEDGE CHECKER (DEBIT PLEDGE BALANCE IN PLEDGOR BO ACCOUNT 1002) 35 – AUTO UNPLEDGE CHECKER (DEBIT PLEDGE BALANCE IN PLEDGEE BO ACCOUNT 1002) 35 – AUTO UNPLEDGE CHECKER (IF LOCKIN FLAG IS 'Y' CREDIT LOCKIN BALANCE 1002)
35	TRANSACTION CODE	NUMBER	4	<ul style="list-style-type: none"> 2212 – AUTO UNPLEDGE CHECKER (IF LOCKIN FLAG = 'Y' CREDIT LOCKIN BALANCE 1002) 2275 - AUTO UNPLEDGE CHECKER (DEBIT PLEDGE BALANCE IN PLEDGEE BO ACCOUNT 1002) 2230 – AUTO UNPLEDGE CHECKER (DEBIT PLEDGE BALANCE 1002) 3409 – AUTO UNPLEDGE CANCEL– (1003) 3409 – AUTO UNPLEDGE MAKER – (1001) 3463 – CANCELLED DUE TO AUTO CA – (1004) 3451 – REVERSAL IN EOD (1005) 3417- Margin Repledge AutoUnpledge Maker(1008) 2281 - Margin Repledge AutoUnpledge Checker (Debit Re-Pledge Balance) - (1009) 2275 - Margin Repledge AutoUnpledge Checker (Debit Pledgee Balance) - (1010) 3401- Margin Pledge AutoUnpledge Maker(1011) 2280- Margin Pledge AutoUnpledge Checker (Debit Pledge Balance) - (1012) 2275- Margin Pledge AutoUnpledge Checker (Debit Pledgee Balance) - (1013)
36	MODIFICATION FLAG	NUMBER	2	1 – MODIFIED 0 – NOT MODIFIED
37	PLEDGOR DP INTERNAL REFERENCE NUMBER	CHAR	16	PLEDGOR DP INTERNAL REFERENCE NUMBER
38	PLEDGOR DP REMARKS	CHAR	100	PLEDGOR DP REMARKS

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
39	PLEDGE DP REMARKS	CHAR	100	PLEDGE DP REMARKS
40	OPERATOR ID	CHAR	6	OPERATOR ID
41	TRANSACTION SOURCE	NUMBER	2	1 – ONLINE 2 – UPLOAD
42	LAST MODIFICATION DATE	DATE	14	LAST MODIFICATION DATE
43	LOCK IN ID	CHAR	16	LOCK IN ID
44	FIELD 11	CHAR	9	NA
45	Exchange ID	NUMBER	2	Exchange ID in case of Margin Pledge/Re-Pledge
46	CM ID	CHAR	16	CM ID in case of Margin Pledge/Re-Pledge
47	TM ID / CP ID	CHAR	100	TM ID/CP ID in case of Margin Pledge/Re-Pledge
48	Entity Identifier	CHAR	14	Entity Identifier in case of Margin Pledge/Re-Pledge CP= CP ID TM= TM ID
49	FILLER 5		19	FOR FUTURE USE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
1	RECORD IDENTIFIER	CHAR	1	D
2	TRANSACTION TYPE	NUMBER	3	11 (CONFISCATE / INVOCATION)
3	BO ID	CHAR	16	PLEDGOR BO ID / PLEDGE BO ID (FOR CR/DB PLEDGEE TRANSACTIONS)
4	ISIN	CHAR	12	ISIN
5	TRANSACTION ID	NUMBER	9	PLEDGE SEQUENCE NUMBER (PSN)
6	CONFISCATION QUANTITY	NUMBER	16,3	CONFISCATION PART QUANTITY
7	TRANSACTION STATUS	NUMBER	6	<ul style="list-style-type: none"> • 1101 – SETUP INITIATED BY PLEDGEE MAKER • 1102 – SETUP APPROVED BY PLEDGEE CHECKER (DEBIT PLEDGE BALANCE – PLEDGOR) • 1108-Setup approved by Pledgee checker (Db. PEB) (Pledgee) • 1103 – SETUP APPROVED BY PLEDGEE CHECKER (DEBIT CURRENT BALANCE – PLEDGOR) • 1104 – SETUP APPROVED BY PLEDGEE CHECKER (CREDIT CURRENT BALANCE – PLEDGEE) • 1105 – REVERSAL BY PLEDGEE • 1106 – CANCELLED DUE TO AUTO CA • 1107 – REVERSAL IN EOD • 1109-Margin Re-Pledge Confiscate Setup Initiated by Pledgee Maker • 1110-Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker (Db. PEB) CC • 1111-Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker (Db. PEB) CM • 1112-Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker (Db. RPB) CM • 1113-Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker (Db. RPB) TM • 1114-Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker (Db. PEB) TM • 1115-Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker (Db. PB) BO • 1116-Db. CB (Pledgor) BO

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				<ul style="list-style-type: none"> • 1117-Cr. CB (Pledgee) CC/CM • 1118-Margin Pledge Confiscate Setup Initiated by Pledgee Maker • 1119-Margin Pledge Confiscate Setup Approved by Pledgee Checker (Db. PEB) TM • 1120-Margin Pledge Confiscate Setup Approved by Pledgee Checker (Db. PB) BO • 1121-Db. CB (Pledgor) BO • 1122-Cr. CB (Pledgee) TM
8	TRANSACTION SETUP DATE	DATE	14	CONFISCATION SETUP DATE
9	BUSINESS DATE	DATE	14	BUSINESS DATE
10	FREE / LOCK IN FLAG	CHAR	1	<ul style="list-style-type: none"> • F – FREE • L – LOCK IN
11	COUNTER BO ID	CHAR	16	PLEDGE BO ID / PLEDGOR BO ID
12	FIELD 1	CHAR	8	NA
13	UCC	CHAR	13	UCC in case of Margin Pledge/Re-Pledge
14	Segment ID	CHAR	13	Segment ID in case of Margin Pledge/Re-Pledge CM – Cash segment FO – Equity Derivatives Segment CD- Currency Derivative Segment DT – Debt Segment CO – Commodity Derivatives Segment SB – SLB Segment AL – ALL Segments
15	FIELD 4	CHAR	8	NA
16	FIELD 5	DATE	14	NA
17	CONFISCATION COUNTER	NUMBER	6	CONFISCATION COUNTER
18	DOCUMENT NUMBER	CHAR	20	INVOCATION REQUEST FORM NUMBER (IRF)

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
19	AGREEMENT NUMBER	CHAR	20	AGREEMENT NUMBER
20	PLEDGE DP INTERNAL REFERENCE NUMBER	CHAR	30	PLEDGE DP INTERNAL REFERENCE NUMBER
21	CA SEQUENCE NUMBER	NUMBER	8	CA SEQUENCE NUMBER
22	LOCK IN CODE	NUMBER	2	<ul style="list-style-type: none"> • 1 – DIRECTORS / RELATIVE QUOTA • 2 – EMPLOYEE QUOTA • 3 – PREFERENTIAL QUOTA • 4 – PROMOTERS QUOTA • 5 – UNDERWRITERS QUOTA • 6 – PRIVATE PLACEMENT • 7 – OTHERS • 8 – ELSS2005
23	LOCK IN EXPIRY DATE	DATE	14	LOCK IN EXPIRY DATE
24	PLEDGE EXPIRY DATE	DATE	14	PLEDGE EXPIRY DATE
25	MAKER CHECKER FLAG	CHAR	1	<ul style="list-style-type: none"> • M – INITIATED • C – VERIFIED
26	FIELD 7	CHAR	1	NA
27	CA TYPE	NUMBER	2	CA TYPE
28	FIELD 9	DATE	14	NA
29	TOTAL PLEDGED QUANTITY	NUMBER	16,3	TOTAL PLEDGED QUANTITY
30	TOTAL QUANTITY (UNPLEDGE VERIFIED + CONFISCATION VERIFIED)	NUMBER	16,3	TOTAL QUANTITY (UNPLEDGE VERIFIED + CONFISCATION VERIFIED)
31	QUANTITY AVAILABLE (FOR UNPLEDGE / CONFISCATION)	NUMBER	16,3	QUANTITY AVAILABLE (FOR UNPLEDGE / CONFISCATION)
32	TOTAL QUANTITY (UNPLEDGE INITIATED + CONFISCATION INITIATED)	NUMBER	16,3	TOTAL QUANTITY (UNPLEDGE INITIATED + CONFISCATION INITIATED)

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
33	FINANCIAL FLAG	NUMBER	2	<ul style="list-style-type: none"> • 0 – SETUP INITIATED BY PLEDGEE MAKER – (1101) • 0 – REVERSAL BY PLEDGEE – (1105) • 0 – CANCELLED DUE TO AUTO CA – (1106) • 1 – SETUP APPROVED BY PLEDGEE CHECKER (DEBIT PLEDGE BALANCE – PLEDGOR – 1102) • 1 – SETUP APPROVED BY PLEDGEE CHECKER (DEBIT CURRENT BALANCE – PLEDGOR – 1103) • 1 – SETUP APPROVED BY PLEDGEE CHECKER (CREDIT CURRENT BALANCE – PLEDGEE – 1104) • 1 - Setup Approve by Pledgee Checker Db. PEB (Pledgee) -(1108)
34	EFTS CODE	NUMBER	2	<ul style="list-style-type: none"> • 35 – SETUP APPROVED BY PLEDGEE CHECKER (DEBIT PLEDGE BALANCE – PLEDGOR – 1102) • 35 - Setup approved by Pledgee checker - Db. PEB (Pledgee) - (1108) • 35 – SETUP APPROVED BY PLEDGEE CHECKER (DEBIT CURRENT BALANCE – PLEDGOR – 1103) • 35 – SETUP APPROVED BY PLEDGEE CHECKER (CREDIT CURRENT BALANCE – PLEDGEE – 1104) • NA – FOR ANY OTHERS
35	TRANSACTION CODE	NUMBER	4	<ul style="list-style-type: none"> • 2246 – SETUP APPROVED BY PLEDGEE CHECKER (CREDIT CURRENT BALANCE – PLEDGEE – 1104) • 2275 - Setup approved by Pledgee checker - Db. PEB (Pledgee) - (1108) • 2277 – SETUP APPROVED BY PLEDGEE CHECKER (DEBT CURRENT BALANCE – PLEDGOR – 1103) • 2280 – SETUP APPROVED BY PLEDGEE CHECKER (DEBIT PLEDGE BALANCE – PLEDGOR – 1102) • 3409 – SETUP INITIATED BY PLEDGEE MAKER (1101) • 3409 – REVERSAL BY PLEDGEE (1105) • 3463 – CANCELLED DUE TO AUTO CA (1106) • 3462 – REVERSAL IN EOD – (1107) • 3402- Margin Re-Pledge Confiscate Setup Initiated by Pledgee Maker(1109) • 2275 -Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker - Debit Pledgee Balance(1110)

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				<ul style="list-style-type: none"> • 2275- Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker - Debit Pledgee Balance(1111) • 2281- Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker - Debit Re-Pledge Balance(Pledgor)(1112) • 2281- Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker - Debit Re-Pledge Balance(Pledgor)(1113) • 2275- Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker - Debit Pledgee Balance(1114) • 2280- Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker - Debit Pledge Balance(1115) • 2277- Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker - Debit Current Balance(1116) • 2246- Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker - Credit Current Balance(1117) • 3403- Margin Pledge Confiscate Setup Initiated by Pledgee Maker(1118) • 2275- Margin Pledge Confiscate Setup Approved by Pledgee Checker - Debit Pledgee Balance(1119) • 2280- Margin Pledge Confiscate Setup Approved by Pledgee Checker - Debit Pledge Balance(1120) • 2277- Margin Pledge Confiscate Setup Approved by Pledgee Checker - Debit Current Balance(1121) • 2246- Margin Pledge Confiscate Setup Approved by Pledgee Checker - Credit Current Balance(1122)
36	MODIFICATION FLAG	NUMBER	2	1 – MODIFIED 0 – NOT MODIFIED
37	PLEDGOR DP INTERNAL REFERENCE NUMBER	CHAR	16	PLEDGOR DP INTERNAL REFERENCE NUMBER
38	PLEDGOR DP REMARKS	CHAR	100	PLEDGOR DP REMARKS
39	PLEDGE DP REMARKS	CHAR	100	PLEDGE DP REMARKS
40	OPERATOR ID	CHAR	6	OPERATOR ID
41	TRANSACTION SOURCE	NUMBER	2	<ul style="list-style-type: none"> • 1 – ONLINE • 2 – UPLOAD

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
42	LAST MODIFICATION DATE	DATE	14	LAST MODIFICATION DATE
43	LOCK IN ID	CHAR	16	LOCK IN ID
44	FIELD 11	CHAR	9	NA
45	Exchange ID	NUMBER	2	Exchange ID in case of Margin Pledge/Re-Pledge
46	CM ID	CHAR	16	CM ID in case of Margin Pledge/Re-Pledge
47	TM ID / CP ID	CHAR	100	TM ID/CP ID in case of Margin Pledge/Re-Pledge
48	Entity Identifier	CHAR	14	Entity Identifier in case of Margin Pledge/Re-Pledge CP= CP ID TM= TM ID
49	FILLER 5		19	FOR FUTURE USE

Report Documentation

Report ID : DPC9

Report Description : Online Statement of Transaction and Holding

Module : BO

Report Format : (Specify in same sequence as Unformatted layout)

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH	REMARKS
Identifier	Varchar	(2)	"01"
DP Id.	Number	(5)	
BO Id.	Varchar	(16)	
From Date	Varchar	(10)	Format (DD-MM-YYYY)
To Date	Varchar	(10)	Format (DD-MM-YYYY)
Identifier	Varchar	(2)	"02"
ISIN No.	Varchar	(12)	
ISIN Full Name	Varchar	(100)	
Settlement Id	Varchar	(13)	
Liquidation Status / Winding Up	Number	(2)	0 – Not Under Liquidation / Winding Up 1 – Under Liquidation / Winding Up 2 – Reference BIFR 7 - Listed – Defaulted in Redemption 8 – Unlisted – Defaulted in Redemption
Security Type	Varchar	(50)	EQ – Equity PREF – Preference Shares GSEC – Government Securities OTHR – Others MF – Mutual Funds
Identifier	Varchar	(2)	"03"
From date	Varchar	(10)	Format (DD-MM-YYYY)
Transaction Particulars	Varchar	(15)	"Opening Balance"
Transaction Qty1	Nil	(0)	

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH	REMARKS
Transaction Qty2	Nil	(0)	
Opening balance	Number	(13.3)	Max (13.3) and min length (1.3)
Identifier	Varchar	(2)	"04"
Transaction Date	Varchar	(10)	
Transaction Particulars	Varchar	(60)	
Credit/Debit Type	Varchar	(1)	C/D
Transaction Qty.	Number	(13.3)	Max length (13.3) and min length (1.3)
Calculated Current balance after transaction	Number	(13.3)	Max length (13.3) and min length (1.3)
Settlement Id	Varchar	(13)	
Counter Settlement Id	Varchar	(13)	
Transaction ID	Number	(8)	
Delivery Instruction Slip	Varchar	(16)	
Identifier	Varchar	(2)	"05"
To Date	Varchar	(10)	
Transaction Particulars	Varchar	(15)	"Closing Balance"
Transaction Qty1	Nil	(0)	
Transaction Qty2	Nil	(0)	
Closing balance	Number	(13.3)	Max length (13.3) and min length (1.3)
Identifier	Varchar	(2)	"06"
Pending Since date / Lockin expiry date / Destat Setup Date / Margin Pledge Setup Date/ Margin Re-Pledge Setup Date/ Normal Pledge Setup Date/ Margin Funding Pledge Setup Date	Varchar	(10)	Format (DD-MM-YYYY)
D - Demat R -Remat / Restat L –Lockin M – Destat P – Margin Pledge E- Margin Re-Pledge N- Normal Pledge F- Margin Funding Pledge	Varchar	(1)	D / R / L / M / P / E / N / F

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH	REMARKS
Description Demat Pending/ Demat Rejected/ Remat Pending/ Remat Rejected/ Restat Pending/ Restat Rejected/ Lockin / Destat Pending / Margin Pledge Transaction Details / Margin Re-Pledge Transaction Details / Normal Pledge Transaction Details / Margin Funding Pledge Transaction Details /	Varchar	(24)	Max (60) Min (17) For e.g. Margin Pledge Transactions MP Accept: <PSN> CTRBO <Pledgee BO ID (TM-CMPA or CM- CMPA or TM/CM- CMPA)> SG <Segment Code> EX <EX ID> Margin Re-Pledge Transactions MRP Accept: <PSN> CTRBO <Pledgor BO ID (TM-CMPA or CM- CMPA or TM/CM- CMPA)> SG <Segment Code> EX <EX ID> Normal Pledge Transactions Pledge Accept: <PSN> CTRBO <Pledgee BO ID> Margin Funding Pledge Transactions Pledge Accept: <PSN> CTRBO <Pledgee BO ID (Margin Funding Account)>
Demat / remat / Restat rejected qty or demat / remat / Restat pending qty/ Lockin Quantity / Pending Destat Quantity / Margin Pledge Quantity / Margin Re-Pledge Quantity / Normal Pledge Quantity / Margin Funding Pledge Quantity	Number	(13.3)	Max length (13.3) and min length (1.3) For Pending Destat if all quantity flag is "A" then quantity will be printed as 0
Identifier	Varchar	(2)	"07"
ISIN No.	Varchar	(12)	
ISIN Full Name	Varchar	(100)	
Current Balance Qty.	Number	(13.3)	Max length (13.3) and min length (1.3)
SAFE KEEP Balance Qty.	Number	(13.3)	Max length (13.3) and min length (1.3)

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH	REMARKS
Pledged Balance Qty.	Number	(13.3)	Max length (13.3) and min length (1.3)
Free Balance Qty.	Number	(13.3)	Max length (13.3) and min length (1.3)
Locked in Balance Qty.	Number	(13.3)	Max length (13.3) and min length (1.3)
Earmarked Balance Qty.	Number	(13.3)	Max length (13.3) and min length (1.3)
Lend Balance	Number	(13.3)	Max length (13.3) and min length (1.3)
AVL Balance	Number	(13.3)	Max length (13.3) and min length (1.3)
Borrow Balance	Number	(13.3)	Max length (13.3) and min length (1.3)
Repledge Balance	Number	(13,3)	Max length (13.3) and min length (1.3)
Isin level freeze flag + Bolsin level freeze flag + freeze suspend flag	Varchar	(3)	Max length 3 (1*3) and min length 0
Settlement ID	Varchar	(13)	
Liquidation Status / Winding Up	Number	(2)	0 – Not Under Liquidation / Winding Up 1 – Under Liquidation / Winding Up 2 – Reference BIFR
Security Type	Varchar	(4)	EQ – Equity PREF – Preference Shares GSEC – Government Securities OTHR – Others MF – Mutual Funds
BO ISIN Holding Valuation	Number	(16,3)	
Identifier	Number	(2)	“08”
Count	Number	(1)	“0”
Business date	Date	(8)	DDMMYYYY
System Date and Time	Varchar	(24)	

NOTE:

1. For every line “01”, there will be a corresponding entry of BO names and addresses in DPD9 report.
2. If the BO does not have any transactions during the time period and his current balance is also nil, then only line “01” is printed.

3. If the BO does not have any transactions during the period, but his current balance is not nil, then line "01", line "02", line "03", line "05" are printed. Line "07" for each ISIN is printed after all the above lines are printed for all the ISINS of the BO.
4. If the BO has transactions during the period, then Line "01", Line "02", Line "03", Line "04" (for each transaction, there will be one Line "04"), Line "05" are printed. Line "07" for each ISIN is printed after all the above lines are printed for all the ISINs of the BO.
5. Memo balances if present are printed in line "06" after Line "05" (if current balance is not nil) or after Line "02" (if current balance is nil). Line "06" is a repeatable line.
6. Report Criteria for DP:
 - Single BO
 - ISIN and Customer Type
 - ALL Bo's
 - Customer Type
 - BO Category and Customer Type
 - BO – ISIN
 - Settlement ID (For CM BO)
7. Naming convention of DPC9 change in case of online report if report is setup for single BO.
Updated for single BO criteria: - 08DPC9U.<BOID><DDMMYYYYHHMISS>
Other than single BOID criteria: 08DPC9U.<even no>.
8. The ISIN Full Name is to be printed in DPC9 Report in Line "02" for ISIN and Line "07" for Holding.
9. ISIN Liquidation / Winding-Up Status will be printed in Line "02" and Line "07" in DPC9 Report.
10. Pending Destat, Margin Pledge, Margin Re-pledge and Margin Funding Pledge Records will be printed in Line "06" for Memo Balance.
11. Security Type has been added in Lines 2 and 7 to display security type wise valuation and holding report.

Transaction Descriptions

Line 04:**A) Margin Pledge****1. Margin Pledge Setup by Pledgor Checker**

"MP"(2)+"Setp:"(5)+"<PSN>(8)+"CTRBO"(5)+"<BOLD>(16)+"CR"(2)+"PSB"
 "(3)+"SG"(2)+"<Segment Code>(2)+"EX"(2)+"<Exchange Id>(2)

Total Length – 58

Sample entry – MP Setp:05128243 CTRBO 1204470001396435 CR PSB SG FO EX
 11

2. Margin Pledge Accept by Pledgee Checker

- Debit Pledge Setup Balance**

"MP"(2)+"Acpt:"(5)+"<PSN>(8)+"CTRBO"(5)+"<BOLD>(16)+"DR"(2)+"PSB"
 "(3)+"SG"(2)+"<Segment Code>(2)+"EX"(2)+"<Exchange Id>(2)

Total Length – 58

Sample entry – MP Acpt:05128243 CTRBO 1204470001396435 DR PSB SG FO EX
 11

- Credit Pledge Balance**

"MP"(2)+"Acpt:"(5)+"<PSN>(8)+"CTRBO"(5)+"<BOLD>(16)+"CR"(2)+"PB"
 "(2)+"SG"(2)+"<Segment Code>(2)+"EX"(2)+"<Exchange Id>(2)

Total Length – 57

Sample entry – MP Acpt:05128243 CTRBO 1204470001396435 CR PB SG FO EX
 11

- Credit Pledgee Balance**

"MP"(2)+"Acpt:"(5)+"<PSN>(8)+"CTRBO"(5)+"<BOLD>(16)+"CR"(2)+"PEB"
 "(3)+"SG"(2)+"<Segment Code>(2)+"EX"(2)+"<Exchange Id>(2)

Total Length – 58

Sample entry – MP Acpt:05128243 CTRBO 1204470001400233 CR PEB SG FO EX
 11

3. Margin Pledge Unpledge Accept by Pledgee Checker

- Debit Pledge Balance**

"MP"(2)+"Unpledge"(8)+"Acpt:"(5)+"PSN"(8)+"Cntr:"(5)+"counter"(4)+"DR"
 "(2)+"PB"(2)+"SG"(2)+"Segment Code"(2)+"EX"(2)+"Exchange Id"(2)
 Total Length – 53

Sample entry – MP Unpledge Acpt:05112879 Cntr:0001 DR PB SG FO EX 11

- **Debit Pledgee Balance**

"MP"(2)+"Unpledge"(8)+"Acpt:"(5)+"PSN"(8)+"Cntr:"(5)+"counter"(4)+"DR"
 "(2)+"PEB"(3)+"SG"(2)+"Segment Code"(2)+"EX"(2)+"Exchange Id"(2)
 Total Length – 54

Sample entry – MP Unpledge Acpt:05112879 Cntr:0001 DR PEB SG FO EX 11

4. Margin Pledge Confiscate Accept by Pledgee Checker

- **Debit Pledge Balance**

"MP"(2)+"Confiscate"(10)+"Acpt:"(5)+"PSN"(8)+"Cntr:"(5)+"counter"(4)+"DR"
 "(2)+"PB"(2)+"SG"(2)+"Segment Code"(2)+"EX"(2)+"Exchange
 Id"(2)
 Total Length – 55

Sample entry – MP Confiscate Acpt:04890260 Cntr:0001 DR PB SG FO EX 11

- **Debit Current Balance**

"MP"(2)+"Confiscate"(10)+"Acpt:"(5)+"PSN"(8)+"Cntr:"(5)+"counter"(4)+"DR"
 "(2)+"CB"(2)+"SG"(2)+"Segment Code"(2)+"EX"(2)+"Exchange
 Id"(2)
 Total Length – 55

Sample entry – MP Confiscate Acpt:04890260 Cntr:0001 DR CB SG FO EX 11

- **Debit Pledgee Balance**

"MP"(2)+"Confiscate"(10)+"Acpt:"(5)+"PSN"(8)+"Cntr:"(5)+"counter"(4)+"DR"
 "(2)+"PEB"(3)+"SG"(2)+"Segment Code"(2)+"EX"(2)+"Exchange
 Id"(2)
 Total Length – 56

Sample entry – MP Confiscate Acpt:04890260 Cntr:0001 DR PEB SG FO EX 11

- **Credit Current Balance**

"MP"(2)+"Confiscate"(10)+"Acpt:"(5)+"PSN"(8)+"Cntr:"(5)+"counter"(4)+"CR"
 "(2)+"CB"(2)+"SG"(2)+"Segment Code"(2)+"EX"(2)+"Exchange
 Id"(2)
 Total Length – 55

Sample entry – MP Confiscate Acpt:04890260 Cntr:0001 CR CB SG FO EX 11

B) Margin Re-Pledge

1. Margin Re-Pledge Accept by Pledgee Checker

- **Credit Re-Pledge Balance**

"MRP"(3)+"Acpt:"(5)+"PSN"(8)+"CTRBO"(5)+"BOID"(16)+"CR"(2)+"RPB"(3)+"SG"(2)+"Segment Code"(2)+"EX"(2)+"Exchange Id"(2)

Total Length – 59

Sample entry – MRP Acpt:05128243 CTRBO 1204470001396435 CR RPB SG FO EX 11

- **Credit Pledgee Balance**

"MRP"(3)+"Acpt:"(5)+"PSN"(8)+"CTRBO"(5)+"BOID"(16)+"CR"(2)+"PEB"(3)+"SG"(2)+"Segment Code"(2)+"EX"(2)+"Exchange Id"(2)

Total Length – 59

Sample entry – MRP Acpt:05128243 CTRBO 1204470001400233 CR PEB SG FO EX 11

2. Margin Re-Pledge Unpledge Accept by Pledgee Checker

- **Debit Re-Pledge Balance**

"MRP"(3)+"Unpledge"(8)+"Acpt:"(5)+"PSN"(8)+"Cntr:"(5)+"counter"(4)+"DR"(2)+"RPB"(3)+"SG"(2)+"Segment Code"(2)+"EX"(2)+"Exchange Id"(2)

Total Length – 55

Sample entry – MRP Unpledge Acpt:05112879 Cntr:0001 DR RPB SG FO EX 11

- **Debit Pledgee Balance**

"MRP"(3)+"Unpledge"(8)+"Acpt:"(5)+"PSN"(8)+"Cntr:"(5)+"counter"(4)+"DR"(2)+"PEB"(3)+"SG"(2)+"Segment Code"(2)+"EX"(2)+"Exchange Id"(2)

Total Length – 55

Sample entry – MRP Unpledge Acpt:05112879 Cntr:0001 DR PEB SG FO EX 11

3. Margin Re-Pledge Confiscate Accept by Pledgee Checker

- **Debit Pledge Balance**

"MRP"(3)+"Confiscate"(10)+"Acpt.":(5)+"PSN">(8)+"Cntr.":(5)+"counter">(4)+"
"DR"(2)+"PB"(2)+"SG"(2)+"<Segment Code>(2)+"EX"(2)+"<Exchange
Id>(2)

Total Length – 56

Sample entry – MRP Confiscate Acpt:04890260 Cntr:0001 DR PB SG FO EX 11

- **Debit Current Balance**

"MRP"(3)+"Confiscate"(10)+"Acpt.":(5)+"PSN">(8)+"Cntr.":(5)+"counter">(4)+"
"DR"(2)+"CB"(2)+"SG"(2)+"<Segment Code>(2)+"EX"(2)+"<Exchange
Id>(2)

Total Length – 56

Sample entry – MRP Confiscate Acpt:04890260 Cntr:0001 DR CB SG FO EX 11

- **Debit Re-Pledge Balance**

"MRP"(3)+"Confiscate"(10)+"Acpt.":(5)+"PSN">(8)+"Cntr.":(5)+"counter">(4)+"
"DR"(2)+"RPB"(3)+"SG"(2)+"<Segment Code>(2)+"EX"(2)+"<Exchange
Id>(2)

Total Length – 57

Sample entry – MRP Confiscate Acpt:04890260 Cntr:0001 DR RPB SG FO EX 11

- **Debit Pledgee Balance**

"MRP"(3)+"Confiscate"(10)+"Acpt.":(5)+"PSN">(8)+"Cntr.":(5)+"counter">(4)+"
"DR"(2)+"PEB"(3)+"SG"(2)+"<Segment Code>(2)+"EX"(2)+"<Exchange
Id>(2)

Total Length – 57

Sample entry – MRP Confiscate Acpt:04890260 Cntr:0001 DR PEB SG FO EX 11

- **Credit Current Balance**

"MRP"(3)+"Confiscate"(10)+"Acpt.":(5)+"PSN">(8)+"Cntr.":(5)+"counter">(4)+"
"CR"(2)+"CB"(2)+"SG"(2)+"<Segment Code>(2)+"EX"(2)+"<Exchange
Id>(2)

Total Length – 56

Sample entry – MRP Confiscate Acpt:04890260 Cntr:0001 CR CB SG FO EX 11

Line 06 : Memo balance Description for

1. Margin Pledge

"MP"(2)+"Accept:"(7)+"<PSN>(8)+"CTRBO"(5) ++<Pledgor BO ID(TM-CMPA or CM-CMPA or TM/CM-CMPA)>(16)+"SG(2)+"<Segment Code>(2)+"EX(2)+"<EX ID>(2)

Total Length – 54

Sample entry – MP Accept: 05128243 CTRBO 1204470001396435 SG FO EX 11

2. Margin Re-pledge

"MRP"(3)+"Accept:"(7)+"<PSN>(8)+"CTRBO"(5) ++<Pledgor BO ID(TM-CMPA or CM-CMPA or TM/CM-CMPA)>(16)+"SG(2)+"<Segment Code>(2)+"EX(2)+"<EX ID>(2)

Total Length – 55

Sample entry – MRP Accept: 05128243 CTRBO 1204470001396435 SG FO EX 11

3. Normal Pledge

"Pledge"(6)+"Accept:"(7)+"<PSN>(8)+"CTRBO"(5) ++<Pledgor BO ID>(16)

Total Length – 46

Sample entry – Pledge Accept: 05128243 CTRBO 1204470001396435

4. Margin Funding Pledge

"Pledge"(6)+"Accept:"(7)+"<PSN>(8)+"CTRBO"(5) ++<Pledgor BO ID(Margin Funding Account)>(16)

Total Length – 46

Sample entry – Pledge Accept: 05128243 CTRBO 1204470001396435

MARGIN PLEDGE / REPLEDGE (MPRF) / UNPLEDGE (MURF) / INVOCATION (MIRF) REQUEST FORM

☐ Setup of Margin Pledge ☐ Setup of Margin Re-Pledge ☐ Confirmation of Margin Pledge ☐ Confirmation of Margin Re-Pledge ☐ Margin Pledge release by Pledgor ☐ Margin Pledge release by Pledgee ☐ Margin Repledge release by Pledgor ☐ Margin Repledge release by Pledgee

Depository Participant Name /Address

Please fill all the details in **Block Letters** in English

MPRF No./MURF No./MIRF No. Date D M Y Y Y Y Y Y

I/We request you to **create the margin pledge/ re-pledge / unpledge/ invocation or confirm the creation of margin pledge / re-pledge / unpledge** for the following securities on my / our behalf. I/We have read and understood the Depositories Act, SEBI Regulations and the Bye Laws in relation to margin pledge of securities and I/We agree to abide by and be bound by the Act, Regulations and the Bye Laws as are in force from time to time for such pledges/repledge/unpledge/invocation.

Pledgor's Details / Pledgee Details	
DP ID	Client ID
UCC	
TMID/CP Code	
CMID	
STOCK EXCHANGE	CLEARING CORPORATION
	SEGMENT
Pledgor's Name	1 2 3

DP ID	Client ID
Pledgee's Name	1. 2. 3.

Details of Securities: ☐ Free Securities

Details of Securities offered for Margin Pledge/ Margin RePledgee Sr. no	ISIN	Company Name	Quantity	PSN (System Generated)	Accepted / Rejected by Pledgee	Pledged value

Attach an annexure duly signed by the account holder(s), if the space above is insufficient.

Details of Securities to be Unpledged
Date of Execution D M Y Y Y Y Y Y

Sr.No	PSN	ISIN	Name of the Security	Total Quantity pledged	Quantity to be unpledged	Accepted / rejected by Pledgee

Attach an annexure duly signed by the account holder(s), if the space above is insufficient.

The ☐ Margin Pledge release ☐ Margin Repledge release request is being set up for the reasons mentioned hereunder: -

To be filled and signed in case of Set-up of pledge release by Pledgee BO

Details of Securities to be Invoked

Date of Invocation D M Y Y Y Y Y Y

Sr. No	PSN	ISIN	Name of the Security	Total Quantity Pledged	Quantity to be invoked	Invocation Value

Attach an annexure duly signed by the Pledgee(s), if the space above is insufficient.

The invocation request is being set up for the reasons mentioned hereunder:-

Pledge Execution Date	D	D	M	M	Y	Y	Y	Y	Y
Pledge Expiry Date	D	D	M	M	Y	Y	Y	Y	Y
Total Pledge Value (Rs.)									
Agreement No.									
Date of Pledging	D	D	M	M	Y	Y	Y	Y	Y

Note : As a pledgor and pledgee, We are aware that the margin pledge / re-pledge recorded in the system only prohibits the Pledgor from dealing with securities until redemption/maturity/expiry date of the securities margin pledged and that the records of margin pledged securities may be removed from the system as a result of redemption/maturity/expiry of the securities.

I/ we hereby provide our consent for the repledge of the securities under margin pledge by the pledgee to the Clearing Member and / or further to the Clearing Corporation

To be filled and signed in case of Set-up of ☐ Margin Pledge ☐ Re-pledge by Pledgor BO ☐ Set-up of pledge release by Pledgor BO

Signature of the Pledgor		
First/ Sole Applicant	Second Applicant	Third Applicant

To be filled in case of acceptance of ☐ Margin Pledge ☐ Re-pledge by Pledgee BO ☐ Set-up of pledge release by Pledgee BO ☐ invocation by pledgee BO

Signature of Margin Pledgee BO(s)

First Holder	Second Holder	Third Holder

Signature of the Margin Pledgee / Margin Re-pledgee		
Sole / First Applicant	Second Applicant	Third Applicant

Depository Participant Seal and Signature